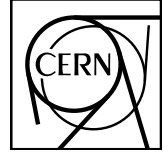


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2

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EMCal Offline Documentation

4

EMCal collaboration

5

Email:alice-emcal-offline@cern.ch

6

Abstract

7

This document describes the EMCAL, it's offline geometry, the software to run a simulation or reconstruct data, the strategy to control the quality of the data, the trigger code and the analysis format.

8

9

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97 **1 Introduction**

98 This document is addressed to those who want to work with the EMCal software. It explains
99 the different steps to have the data taken ready to be analyzed. It is divided in 2 blocks: a first
100 one with the description of the procedures needed to cook the data and a second one with the
101 reconstruction and simulation offline code.

102 For a fast introduction on the code and how it works you can have a look to the EMCal for
103 beginners guide [1]. Some other interesting references are the AliRoot primer [3], the offline
104 AliRoot page [2], and the installation page from Dario Berzano [5].

105 **1.1 Mechanical description of the EMCAL - Federico**

106 The chosen technology is a layered Pb-scintillator sampling calorimeter with a longitudinal pitch
107 of 1.44 mm Pb and 1.76 mm scintillator with longitudinal Wavelength Shifting Fiber (WLS) light
108 collection. The full detector spans $\eta = -0.7$ to $\eta = 0.7$ with an azimuthal acceptance of $\Delta\phi = 107^\circ$
109 and is segmented into 12,288 towers, each of which is approximately projective in η and ϕ to
110 the interaction vertex. The towers are grouped into super modules of two types: full size which
111 span $\Delta\phi = 20^\circ$ and 1/3 size which span $\Delta\phi = 6.67^\circ$. There are 10 full size and 2, 1/3-size super
112 modules in the full detector acceptance (Fig. 1).

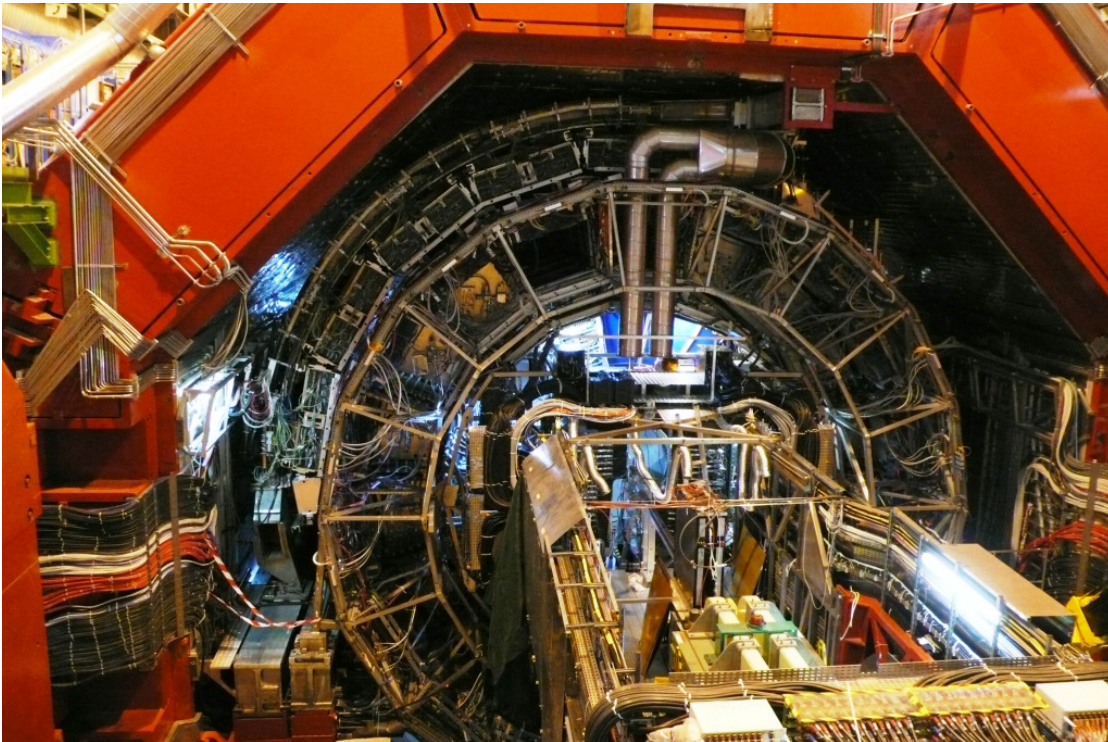


Fig. 1: Azimuthal view from the A-side (opposite to the di-muon arm) of the full EMCal as installed into the ALICE detector. The two 1/3-size super-modules are visible at 9 o'clock position.

113 The super module is the basic structural units of the calorimeter. These are the units handled as
114 the detector is moved below ground and rigged during installation.

115 Fig. 2 shows a full size super module with 12×24 modules configured as 24 strip modules of
116 12 modules each. The supporting mechanical structure of the super module hides the stacking
117 into a nearly projective geometry which can be inferred by the different tilt of the strip modules
118 going from the left to the right part of the picture. The electronics integration pathways are also
119 visible. Each full size super module is assembled from $12 \times 24 = 288$ modules arranged in 24
120 strip modules of 12 modules each.



Fig. 2: View of one EMCal super-module during the installation into the ALICE detector. The cradle holds the 24 strip modules into a mechanically rigid unit. Each strip module holds 12 unit modules. On the right side the two electronics crates are visible.

121 Each module has a rectangular cross section in the ϕ direction and a trapezoidal cross section
122 in the η direction with a full taper of 1.5° . The resultant assembly of stacked strip modules is
123 approximately projective with an average angle of incidence of less than 2° in η and less than 5°
124 in ϕ . An assembled strip module is shown in Fig. 3.

125 The smallest building block of the calorimeter is the individual module illustrated in Fig. 4 Each
126 individual module contains $2 \times 2 = 4$ towers built up from 77 alternating layers of 1.44 mm Pb
127 and 1.76 mm polystyrene, injection molded scintillator. White, acid free, bond paper serves as
128 a diffuse reflector on the scintillator surfaces while the scintillator edges are treated with TiO₂



Fig. 3: View of a fully assembled strip module. The photo shows the APD+CSP package and copper shielding mounted light guide fixture. On the right part of the photo the LED UV optical fiber distribution system is visible. Each strip module, is cabled via 3 T-Cards visible in the center of the assembly.

129 loaded reflector to provide tower to tower optical isolation and improve the transverse optical
 130 uniformity within a single tower. The Pb-scintillator stack in a module is secured in place by the
 131 static friction between individual layers under the overall load of 350 kg. The module is closed
 132 by a skin of 150 μm thick stainless steel screwed by flanges on all four transverse surfaces to
 133 corresponding front and rear aluminum plates. This thin stainless skin is the only inert material
 134 between the active tower volumes. The internal pressure in the module is stabilized against
 135 thermal effects, mechanical relaxation and long term flow of the Pb and/or polystyrene by a
 136 customized array of 5 non-linear spring sets (Bellville washers) per module. In this way, each
 137 module is a self supporting unit with a stable mechanical lifetime of more than 20 years when
 138 held from its back surface in any orientation as when mounted in a strip module.

139 All modules in the calorimeter are mechanically and dimensionally identical. The front face
 140 dimensions of the towers are $6 \times 6 \text{ cm}^2$ resulting in individual tower acceptance of $\Delta\eta \times \Delta\phi =$
 141 0.014×0.014 at $\eta=0$. The EMCAL design incorporates a moderate detector average active vol-
 142 ume density of 5.68 g/cm^3 which results from a 1:1.22 Pb to scintillator ratio by volume. This
 143 results in a compact detector consistent with the EMCAL integration volume at the chosen detec-
 144 tor thickness of 20.1 radiation lengths.



Fig. 4: The first 1.5° tapered module of the EMCal generation II prototype produced in EU shown. The module's internal compression is maintained by a set of 5 Bellville washers (non linear springs) acting between the top and bottom containment Al plates to prevent the delamination of the internal Pb-scintillator sandwich.

145 As described above, the super module is the basic building block of the calorimeter. Starting
146 with 288 individual modules which are rather compact and heavy, the main engineering task is
147 to create a super module structure which is rigid, with small deflections in any orientation yet
148 does not require extensive, heavy external stiffening components that would reduce the volume
149 available for the active detector. The solution adopted for the ALICE EMCal is to develop
150 a super module crate which functions not as a box for the individual modules but rather an
151 integrated structure in which the individual elements contribute to the overall stiffness. The
152 super module crate is effectively a large I-beam in which the flanges are the long sides of the
153 crate and the 24 rows of strip modules together. This configuration gives to the super module
154 good stiffness for both the 9 o'clock and 10 o'clock locations. For the 12 o'clock location, the
155 I-beam structure of the super module is augmented by a 1 mm thick stainless steel forward sheet
156 (traction loaded), which controls the bending moment tending to open the crate main sides, and
157 helps to limit deflection of strip modules. Ridges are provided on the interior surfaces of the crate
158 to allow precision alignment of the strip modules at the correct angle. The stiffness given by this
159 I-beam concept allows the use of non-magnetic light alloys for main parts of the super module
160 crate. Parts of the super module crate will be made mainly from laminated 2024 aluminum alloy

161 plates. The two main sides (flanges of the I-beam) of the crate will be assembled from 2 plates,
162 25 mm and 25 mm thick, bolted together and arranged so as to approximately follow the taper
163 of the 20 degree sector boundary. Each of the 24 rows of a super module contain 12 modules
164 as described above. Each of the modules is attached to a transverse beam by 3.4 mm diameter
165 stainless steel screws. The 12 modules and the transverse beam form a strip module. The strip
166 module is 1440 mm long, 120 mm wide, 410 mm thick. The total weight of the strip module is
167 approximately 300 kg and like module, it is a self supporting unit. The transverse beam, which is
168 the structural part of the strip module, is made from cast aluminum alloy with individual cavities
169 along its length where the fibers emerging from towers are allowed to converge. The casting
170 process is well suited to forming these cavities and the overall structure, saving considerable
171 raw material and machining time.

172 In addition to functioning as a convenient structural unit which offers no interference with the
173 active volume of the detector and forming the web of the I-beam structure of the super module,
174 the transverse beam of the strip module provides protection for the fibers, a structural mount
175 for the light guide, APD and charge sensitive preamplifier and a light tight enclosure for these
176 elements.

177 **1.2 Functional description of the EMCAL - Terry**

178 **** need some additional info on PE APDs *****

179 Particles traversing the calorimeter, in particular photons and electrons, will deposit energy in
180 different towers. The EMCAL reconstruction measures such energy per tower, forms clusters of
181 cells produced by a given particle, and if possible matches them with particles detected by the
182 tracking detectors in front of EMCAL (charged particles).

183 Scintillation photons produced in each tower are captured by an array of 36 Kuraray, Y-11,
184 double clad, WLS fibers that run longitudinally through the Pb/scintillator stack. Each fiber
185 terminates in an aluminized mirror at the front face end of the module and is integrated into
186 a polished, circular group of 36 at the photo sensor end at the back of the module. The fiber
187 bundles are pre-fabricated and inserted into the towers after the module mechanical assembly
188 is completed. The 36 individual fibers are packed into a circular array 6.8 mm in diameter and
189 held in place inside a custom injection molded grommet by Bicon BC-600 optical cement. An
190 optical quality finish is applied to the assembled bundle using a diamond polishing machine. At
191 the other end of the bundle, individual fibers are similarly polished and mirrored with a sputtered
192 coat of aluminum thick enough to ensure the protection of the inner mirror. The response of the
193 Al-coated fiber is considerably flatter with an overall increase in efficiency in the range of about
194 25% in the vicinity of shower maximum (i.e. the location of the highest energy deposition for
195 an electromagnetic shower). This number accounts for material immediately in front of the
196 detector; which ranges between 0.4 and 0.8 radiation lengths, and assumes 5.5 - 6.0 radiation
197 lengths for shower maximum for 10 GeV photons. At this depth in the detector, the mirrored
198 fiber response is very uniform does not contribute to the non-linearity of the detector as a whole.

199 Other factors which can significantly impact the electromagnetic performance of the calorime-
200 ter, include scintillator edge treatment and the density of the wavelength shifting fiber readout

201 pattern and the material chosen for the interlayer diffuse reflector. For scintillator edge treatment
202 and fiber density, advantage was taken from the extensive studies made by the LHCb collabora-
203 tion for their ECAL. In particular, a diffuse reflector edge treatment was adopted, such as that
204 obtained with Bicron Titanium Dioxide loaded white paint (BC622A) with a total fiber density
205 of about one fiber per cm^2 . In the case of the interlayer diffuse reflector, a white, acid free, bond
206 paper was used in place of the Teflon based commercial TYVEK. While TYVEK produces
207 slightly better surface reflectivity, its coefficient of friction is too low to permit its use in this
208 design where the module's mechanical stability depends somewhat on the interlayer friction.

209 The 6.8 mm diameter fiber bundle from a given tower connects to the APD through a short light
210 guide/diffuser with a square cross section of 7 mm \times 7 mm that tapers slowly down to 4.5 mm
211 \times 4.5 mm as it mates (glued) to the 5 mm \times 5 mm active area of the photo sensor. The 4
212 pre-fabricated fiber bundles are inserted into the towers of a single module.

213 The selected photo sensor is the Hamamatsu S8664-55 Avalanche Photo Diode *****

214 This photodiode has a peak spectral response at a wavelength of 585 nm compared to an emission
215 peak of 476 nm for the Y-11 fibers. However, both the spectral response and the quantum
216 efficiency of the APD are quite broad with the latter dropping from the maximum by only 5%
217 at the WLS fiber emission peak. At this wavelength, the manufacturer's specification gives a
218 quantum efficiency of 80%.

219 **2 EMCAL geometry software - Marco +++**

220 This page is intended for a description of the EMCAL geometry and the methods to access it.
221 *This is a very preliminary version that needs work.*

222 **2.1 Classes description**

223 The EMCAL geometry is implemented in several classes : (right now very brief description, it
224 should be completed)

- 225 – AliEMCALGeoUtils: Steering geometry class. No dependencies on STEER or EMCAL
226 non geometry classes. Can be called during the analysis without loading all aliroot classes.
- 227 – AliEMCALGeometry: Derives from AliEMCALGeoUtils, contains dependencies on other
228 EMCAL classes (AliEMCALRecPoint).
- 229 – AliEMCALEMCGeometry: Does the geometry initialization. Does all the definitions of
230 the geometry (towers composition, size, Super Modules number ...)
- 231 – AliEMCALGeoParams: Class container of some of the geometry parameters so that it can
232 be accessed everywhere in the EMCAL code, to avoid "magic numbers". Its use has to be
233 propagated to all the code.
- 234 – AliEMCALShishKebabTrd1Module: Here the modules are defined and the position of
235 the modules in the local super module reference system is calculated

236 **2.2 Accessing the geometry**

237 One can get the geometry pointer in the following ways:

- 238 – If galice.root is available:

```
239 1 AliRunLoader *rl = AliRunLoader::Open("galice.root",AliConfig::  
    GetDefaultEventFolderName(),"read");  
2 rl->LoadgAlice();//Needed to get geometry  
3 AliEMCALLoader *emcalLoader = dynamic\_cast<AliEMCALLoader*>(rl->  
    GetDetectorLoader("EMCAL"));  
4 AliRun * alirun = rl->GetAliRun();  
5 AliEMCAL * emcal = (AliEMCAL*)alirun->GetDetector("EMCAL"); AliEMCALGeometry  
    * geom = emcal->GetGeometry();  
6 else, if galice.root is not available:  
7 AliEMCALGeometry * geom = AliEMCALGeometry::GetInstance("EMCAL\_COMPLETE") ;
```

240

241 In this case you might need the file geometry.root if you want to access to certain methods
242 that require local to global position transformations. This file can be generated doing a simple
243 simulation, it just contains the transformation matrix to go from global to local.

244 The way to load this file is:

```
245 TGeoManager::Import("geometry.root");
```

246 The transformation matrices are also stored in the ESDs so if you do not load this file, you can
247 have to load these matrices from the ESDs.

248 If you want to see different parameters used in the geometry printed (cells centers, distance to
249 IP, etc), one just has to execute the method PrintGeometry().

250 **2.3 Geometry configuration options**

251 Right now the following geometry options are implemented:

- 252 – EMCAL_COMPLETE: 12 Super Modules (2 half Super Modules)
- 253 – EMCAL_FIRSTYEAR: 4 Super Modules (year 2010)
- 254 – EMCAL_FIRSTYEARV1: 4 Super Modules, corrected geometry (year 2010)
- 255 – EMCAL_COMPLETEV1: 10 Super Modules, corrected geometry (year 2011)
- 256 – EMCAL_COMPLETE12SMV1: 12 Super Modules (10+2/3), corrected geometry (year
257 2012)

258 Other options exists but need to be removed as they **should not be used**:

- 259 – EMCAL_PDC06: Old geometry, for reading old data (which do not exist anymore).
- 260 – EMCAL_WSU: Prototype geometry.

261 By default, the geometry is loaded with the EMCAL_COMPLETE12SMV1 configuration.

262 **2.4 Mapping**

263 The tower row/column mapping online and offline follows the alice numbering convention. Fig-
264 ures 5 to 7 display the position of the super modules from different points of view and the
265 position of the tower index in them.

266 **2.5 Tower index transformation methods**

267 *2.5.1 Absolute tower ID to Row/Column index*

268 Each EMCAL supermodule is composed of 24x48 towers (phi,eta), grouped in 4x4 modules.
269 Each tower (even each module) has a unique number assigned, called in the code "absolute
270 ID" number (absId). This number can be transformed into a row (phi direction) or column (eta
271 direction) index. The procedure to go from the absId to the (row, col) formulation or viceversa
272 is as follow:

2 x(5+1/3) SM's

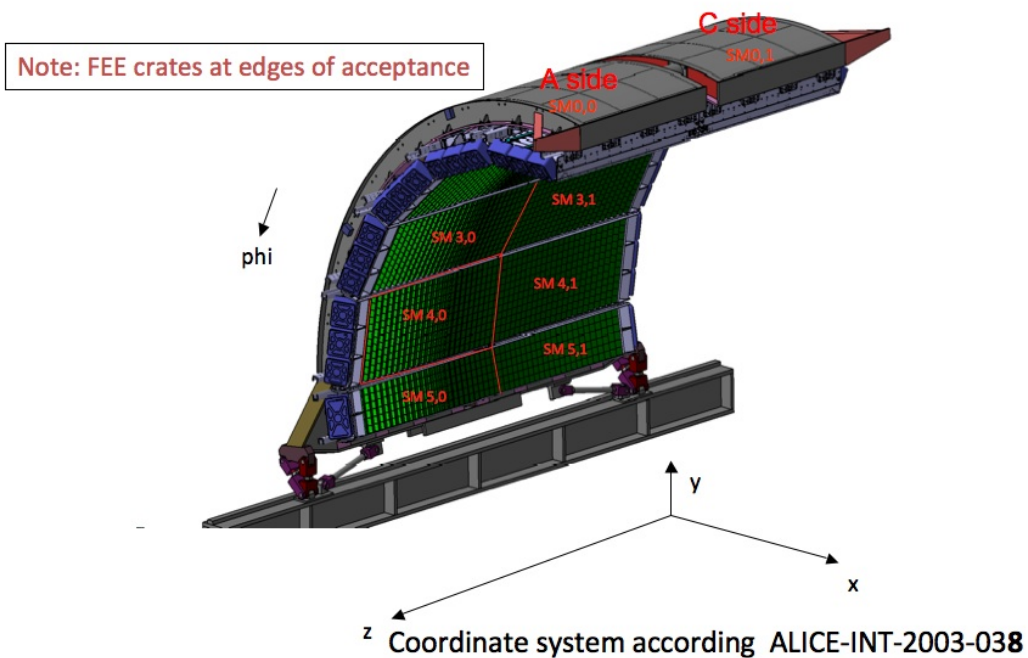


Fig. 5: Position of the super modules

273 – From absId to col-row:

```

1  Int_t nSupMod, nModule, nIphi, nIeta, iphi, ieta;
2  //Check if this absId exists
3  if(!CheckAbsCellId(absId)) return kFALSE;
4  // Get from the absId the super module number, the module number and the eta-phi index
274  (0 or 1) in the module
5  GetCellIndex(absId, nSupMod, nModule, nIphi, nIeta);
6  // Get from the the super module number, the module number and the eta-phi index (0
   or 1) in the module the tower row (iphi) and column (ieta)
7  GetCellPhiEtaIndexInSModule(nSupMod, nModule, nIphi, nIeta, iphi, ieta);

```

275

276 – From col-row to absId, following the same notation as above:

```

1
277 2  absid = GetAbsCellIdFromCellIndexes(nSupMode, iphi, ieta);

```

EMCAL, seen from back/magnet side – looking towards IP through EMCAL from the top of the CalFrame. 4 installed SuperModules; sector 0 is the top/highest sector. Standard view. Row as Y-axis, and Column as X-axis (LED amplitude plots).

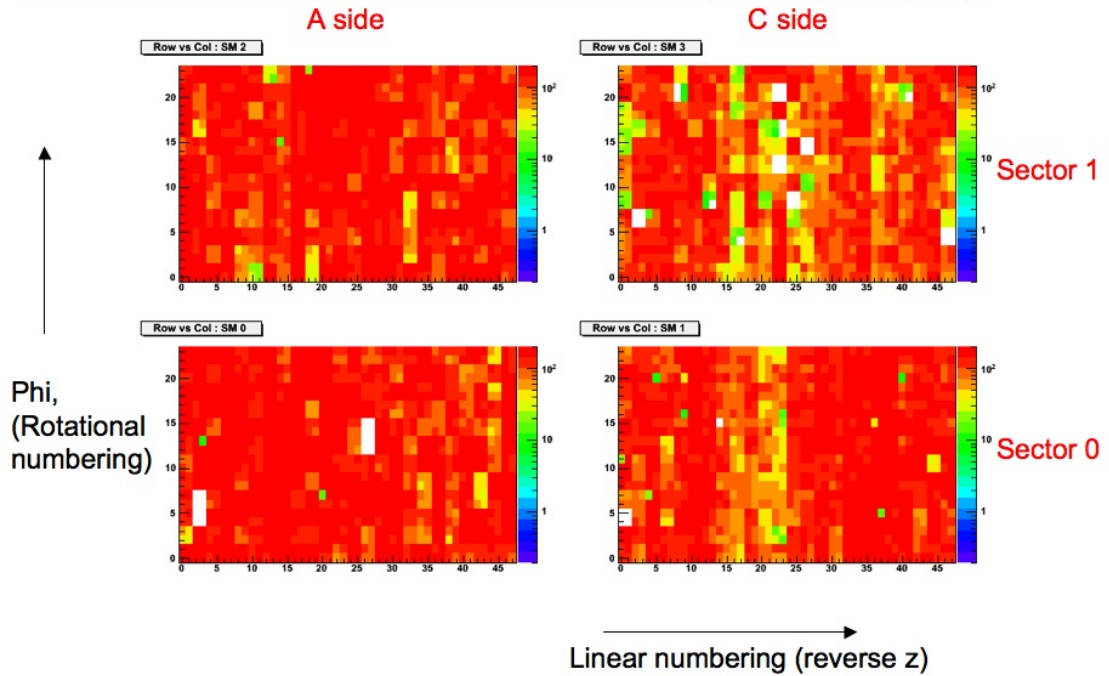


Fig. 6: EMCAL seen from the magnet side with 4 SMs.

278

279 or

```
1
2 absid = GetAbsCellId(nSupMod, nModule, nIphi, nIeta);
```

281

282 – Other interesting method is

```
1
2 Int\_t GetSuperModuleNumber(Int\_t absId)
```

284

EMCAL, seen from back/magnet side – looking towards IP through EMCAL from the bottom (alternative view) of the CalFrame.

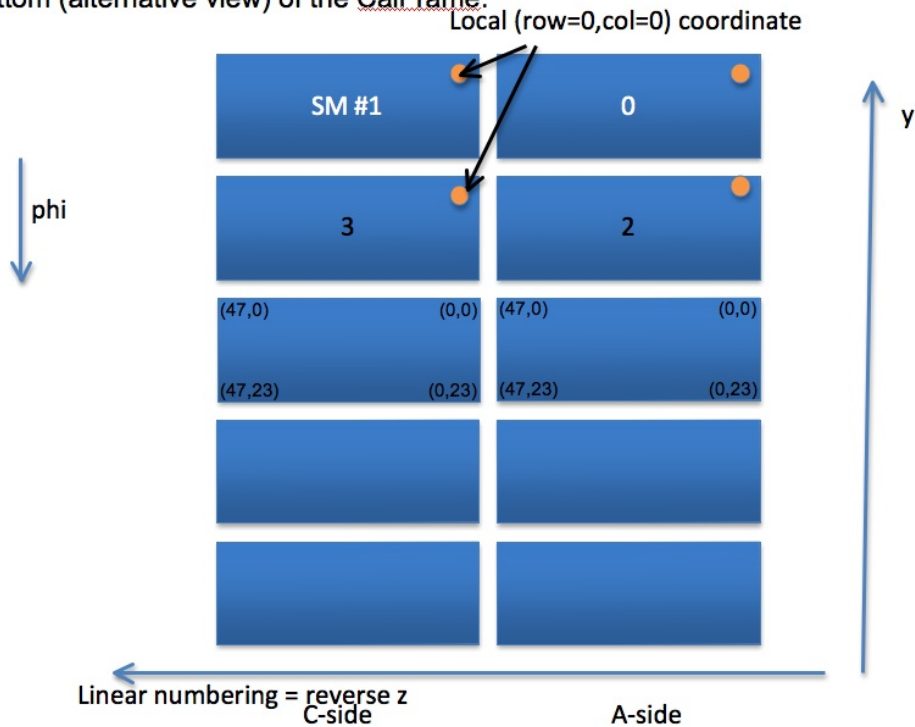


Fig. 7: EMCAL geometrical numbering.

285 2.6 Tower index to local / global reference system position

286 2.6.1 Local coordinates

287 To correlate the tower index and its position in local coordinates, the following methods are
288 available:

```

1  Bool_t AliEMCALGeoUtils::RelPosCellInSModule(Int_t absId, Double_t &xr,
2      Double_t &y, Double_t &zr) const;
3  Bool_t AliEMCALGeoUtils::RelPosCellInSModule(Int_t absId, Double_t loc[3])
289     const;
4
5  Bool_t AliEMCALGeoUtils::RelPosCellInSModule(Int_t absId, TVector3 &vloc)
     const;

```

290

291 To which the input is the absId and the output are the coordinates of the center of towers in the

292 local coordinates of the Super Module. This method gets the column and row index of the cell
293 from the absId, independently of the Super Module (like above), and gets the center of the cell
294 from 3 arrays (x,y,z) filled with such quantities. Such central positions are calculated during the
295 initialization of the geometry, where the arrays are filled, in the method :

```
1  
296 2  AliEMCALGeoUtils::CreateListOfTrd1Modules()
```

297

298 «««Someone else should explain how it works»»»

299 In case we calculate the cluster position, things are a bit different.

300 ««« This explanation should go to the clusterization section»»»

301 This is done in

```
3021 void AliEMCALRecPoint::EvalLocalPosition()
```

303

304 First we calculate the cell position with the method

```
1  AliEMCALGeometry::RelPosCellInSModule(Int_t absId, Int_t maxAbsId, Double_t  
305   tmax, Double_t &xr, Double_t &yr, Double_t &zr)
```

306

307 The calculation of the cell position done here is different in the "x-z" but the same in "y".

308 ««« «««Someone else should explain how it works»»»»»

309 In this particular case the position calculation per tower depends on the position of the maxi-
310 mum cell, and the sum of the energy of the cells of the cluster. The maximum depth (tmax) is
311 calculated with the method

```

1   Double\ _t AliEMCALRecPoint::TmaxInCm(const Double\ _t e){
2
3       //e: energy sum of cells
4
5   static Double\ _t ca = 4.82; // shower max parameter – first guess; ca=TMath::Log(1000./8.07)
6
7       static Double\ _t x0 = 1.23; // radiation lenght (cm)
3128
9       static Double\ _t tmax = 0.; // position of electromagnetic shower max in cm
10
11      tmax = TMath::Log(e) + ca+0.5;
12
13      tmax *= x0; // convert to cm
14
15  }

```

313

314 After the cells position of the cluster is accessed, the position of the cluster is calculated averaging the cell positions with a logarithmic weight:

```

1   w(cell i) = TMath::Max( 0., logWeight + TMath::Log( energy[cell i] / summed\
316   _cluster\_cell\_energy ));

```

317

318 where the logWeight was chosen to be 4.5 (this value was taken from PHOS, never optimized as far as I know)

320 So in the end the position, is

```

321 f = Sum(f(i) * w(i))/Sum(w(i))

```

322

323 where f=x,y,z.

324 2.6.2 Global coordinates

325 To transform from local to global we have the methods

```

1
2 void GetGlobal(const Double\__t *loc, Double\__t *glob, int ind) const;
3
4 void GetGlobal(const TVector3 \&vloc, TVector3 \&vglob, int ind) const;
326
5
6 void GetGlobal(Int\__t absId, Double\__t glob[3]) const;
7
8 void GetGlobal(Int\__t absId, TVector3 \&vglob) const;

```

327

328 These methods take the local coordinates and transform them into global coordinates using the
329 transformation matrix of the Super Module.

```

1
2
3303 TGeoHMatrix* m = GetMatrixForSuperModule(nSupMod);
4
5 if(m) m->LocalToMaster(loc, glob);

```

331

332 GetGlobal is called in the following useful methods in the geometry class:

333 – Return the eta and phi angular position of the cell from the AbsId

```

1 void EtaPhiFromIndex(Int\__t absId, Double\__t \&eta, Double\__t \&phi) const
334 ;
2 void EtaPhiFromIndex(Int\__t absId, Float\__t \&eta, Float\__t \&phi) const;

```

335

336 – Print information of the cells. For "pri>0" returns more information. "tit" has not much
337 use, this value is printed.

```

338 1 void PrintCellIndexes(Int\__t absId, int pri, const char *tit)

```

339

340 2.7 Geometry Alignment

341 AliRoot contains a frame for the correction of the misplacement of geometry objects with respect
342 to the ideal positions which are kept in the STEER/ directory of the following classes:

```
1 AliAlignObj  
2 AliAlignObjMatrix  
343 AliAlignObjParams  
4 AliAlignmentTracks
```

344

345 The class AliEMCALSurvey creates the corrections to the alignable objects. The class AliEM-
346 CALSurvey was established to take the survey parameters from OCDB, calculate the shift in
347 position of the center of the end faces of the supermodules from the nominal position, and con-
348 vert this to a transformation matrix for each supermodule which is applied to correct the global
349 position of the supermodules. All calculations of global positions would then use these corrected
350 supermodule positions to determine their locations within the ALICE global coordinate system.

351 **3 EMCAL OCDB/OADB - Marcel**

352 OCDB is the Offline data base. It contains the different parameters used for simulation or re-
353 construction of the detectors or even the LHC machine parameters that might change for the
354 different run conditions.

355 OADB is the Offline Analysis data base.

356 The EMCAL OCDB (and other detectors OCDB) is divided in 3 directories that can be found in

357 `$ALICE_ROOT/OCDB/EMCAL`

358 – Calib: Very different type of information, from hardware mapping to calibration paramete-
359 ters.

360 – Align: Survey misplacements in geometry.

361 – Config: Detector configuration, temperatures

362 Inside these directories you will find other subdirectories with more specific types of parameters.
363 Each of the directories contains a file named in this way:

364 `Run(FirstRun)_(LastRun)_v(version)_s(version).root`

365 being the default and what you will find in the trunk

366 `Run0_999999999_v0_s0.root`

367 What is actually used for the real data reconstruction can be found in alien here:

368 `/alice/data/20XX/OCDB/EMCAL`

369 There are different repositories for different years (20XX). For the simulation productions, there
370 is another repository on the grid:

371 `/alice/simulation/2008/v4-15-Release/XXX/EMCAL`

372 which is divided into 3 other repositories: Ideal, Full and Residual. Each one is meant to repro-
373 duce the detector with different precision. For EMCAL, right now these 3 repositories contain
374 the same parameters.

375 The following section explain the elements stored and how to read and fill OCDB parameters.

376 **3.1 Accessing a different OCDB**

377 In the simulation/reconstruction macro a default OCDB needs to be specified if different from

378 `$ALICE_ROOT/OCDB`.

379 When running on the grid, one needs to set for example in a reconstruction of simulated data:

```
380 reco.SetDefaultStorage("alien://Folder=/alice/simulation/2008/v4-15-Release/  
381 Residual/");
```

382 If one or several OCDB files have been modified, the following line has to be added in the
383 simulation or reconstruction macro:

```
384 reco.SetSpecificStorage("EMCAL/Calib/Pedestals", "local://your/modified/local/OCDB  
385 ");
```

386 The file with the calibration coefficients needs to be stored in the directory :

```
387 /your/modified/local/OCDB/EMCAL/Calib/Data
```

388 If more of the OCDB files are modified, add the following line :

```
389 reco.SetSpecificStorage("EMCAL/Calib/", "local:/your/modified/local/OCDB");
```

390 with all the directories inside

```
391 \begin{lstlisting}  
392 /your/modified/local/OCDB/EMCAL/Calib/
```

393 **3.2 Energy calibration**

394 Calibration Coefficients tower by towers are stored in the following directory :

```
395 EMCAL/Calib/Data
```

396 What is stored is an object of the class AliEMCALCalibData which is a container of gains and
397 pedestals per tower. These coefficients are used in:

- 398 – Simulation: during the digitization, in AliEMCALDigitizer::Digitizer(), when calling
399 AliEMCALDigitizer::DigitizeEnergy(), to transform the deposited energy into ADC counts.
- 400 – Reconstruction: in AliEMCALClusterizerV1::Calibrate() called in AliEMCALCluster-
401 izer::MakeClusters(), when forming the cluster, to get the final cluster energy.

402 The macro

```
403 $ALICE_ROOT/EMCAL/macros/CalibrationDB/AliEMCALSetCDB.C
```

404 is an example on how to set the calibration coefficients per channel, or how to read them from
405 the OCDB file. This macro can set all channels with the same selected value or with random
406 values given a uniform or gaussian smearing of a selected input value. A simple example that
407 shows how to print the parameters is PrintEMCALCalibData.C

408 All channels in the simulation have the same value for the gains (0.0153 GeV/ADC counts) and
409 pedestal (set to 0 since the calorimeter works with Zero Suppressed data).

410 3.3 Bad channels

411 Storage for the bad channels map found in hardware are here :

412 `EMCAL/Calib/Pedestals`

413 The object stored is from the class `AliCaloCalibPedestal` used for monitoring the towers calibration and functionality. This class has the data member `TObjArray *fDeadMap` which consists of an array of 12 TH2I (as many as Super Modules), and each TH2I has the dimension of 24x48 (number of towers in $\phi \times \eta$ direction), each bin corresponds to a tower. The content of each entry in the histogram is an integer which represents the possible status:

```
418 enum kDeadMapEntry{kAlive = 0, kDead, kHot, kWarning, kResurrected,  
419     kRecentlyDeceased, kNumDeadMapStates};
```

420 Right now only the status `kAlive`, `kDead`, `kHot` and soon `kWarning` (soon, not yet) are set but, 421 the code is basically skipping all the channels that are `kDead` and `kHot`. The bad channel map is 422 used in the reconstruction code in 3 places:

- 423 – `AliEMCALRawUtils::Raw2Digits()` : Before the raw data time sample is fitted, the status 424 of the tower is checked, and if bad (`kHot` or `kDead`), the fit is not done. This avoids trying 425 to fit ill shaped samples. This step is optional though, right now default is to skip the bad 426 channels here. With the `RecParam OCDB` we can select to use it or not.
- 427 – `AliEMCALClusterizerV1::Calibrate()`: once the cluster is formed, to get the cluster energy 428 from its cells.
- 429 – `AliEMCALRecPoint::EvalDistanceToBadChannels()`: Evaluate the distance of a cluster 430 to the closest bad channel. During the analysis we may want to skip clusters close to a 431 bad channel. This time a bad channel is whatever is not `kAlive`.

432 The macro

433 `$ALICE_ROOT/EMCAL/macros/PedestalDB/AliEMCALPedestalCDB.C`

434 is an example on how to set the bad channel map and how to read it from a file. When executed, 435 it displays a menu that allows to set randomly as bad a given % of the towers. It also allows to 436 set the map from an input txt file, with the format like 437 `$ALICE_ROOT/EMCAL/macros/PedestalDB/map.txt` (this map file is the one used in the last 438 mapping in the raw OCDB). It can also read the OCDB file and display the 12 TH2I histograms 439 on screen.

440 3.4 Reconstruction parameters

441 The storage of the parameters used in reconstruction is done in

442 `EMCAL/Calib/RecoParam`

443 What is stored is an object of the class AliEMCALRecParam which is a container for all the
444 parameters used. There are different kind of parameters, we can distinguish them depending on
445 which step of the reconstruction are used as explained below.

446 **Raw data fitting and mapping**

- 447 – Double_t fHighLowGainFactor; // gain factor to convert between high and low gain
- 448 – Int_t fOrderParameter; // order parameter for raw signal fit
- 449 – Double_t fTau; // decay constant for raw signal fit
- 450 – Int_t fNoiseThreshold; // threshold to consider signal or noise
- 451 – Int_t fNPedSamples; // number of time samples to use in pedestal calculation
- 452 – Bool_t fRemoveBadChannels; // select if bad channels are removed before fitting
- 453 – Int_t fFittingAlgorithm; // select the fitting algorithm
- 454 – static TObjArray* fgkMaps; // ALTRO mappings for RCU0..RCUX

455 **Clusterization**

- 456 – Float_t fClusteringThreshold ; // Minimum energy to seed a EC digit in a cluster
- 457 – Float_t fW0 ; // Logarithmic weight for the cluster center of gravity calculation
- 458 – Float_t fMinECut; // Minimum energy for a digit to be a member of a cluster
- 459 – Bool_t fUnfold; // Flag to perform cluster unfolding
- 460 – Float_t fLocMaxCut; // Minimum energy difference to consider local maxima in a cluster
- 461 – Float_t fTimeCut ; // Maximum difference time of digits in EMC cluster
- 462 – Float_t fTimeMin ; // Minimum time of digits
- 463 – Float_t fTimeMax ; // Maximum time of digits

464 **Track Matching**

- 465 – Double_t fTrkCutX; // X-difference cut for track matching
- 466 – Double_t fTrkCutY; // Y-difference cut for track matching
- 467 – Double_t fTrkCutZ; // Z-difference cut for track matching
- 468 – Double_t fTrkCutR; // cut on allowed track-cluster distance

```

469  – Double_t fTrkCutAlphaMin; // cut on 'alpha' parameter for track matching (min)
470  – Double_t fTrkCutAlphaMax; // cut on 'alpha' parameter for track matching (min)
471  – Double_t fTrkCutAngle; // cut on relative angle between different track points for track
472  matching
473  – Double_t fTrkCutNITS; // Number of ITS hits for track matching
474  – Double_t fTrkCutNTPC; // Number of TPC hits for track matching

```

475 PID

```

476  – Double_t fGamma[6][6]; // Parameter to Compute PID for photons
477  – Double_t fGamma1to10[6][6]; // Parameter to Compute PID not used
478  – Double_t fHadron[6][6]; // Parameter to Compute PID for hadrons
479  – Double_t fHadron1to10[6][6]; // Parameter to Compute PID for hadrons between 1 and
480  10 GeV
481  – Double_t fHadronEnergyProb[6]; // Parameter to Compute PID for energy ponderation
482  for hadrons
483  – Double_t fPiZeroEnergyProb[6]; // Parameter to Compute PID for energy ponderation for
484  Pi0
485  – Double_t fGammaEnergyProb[6]; // Parameter to Compute PID for energy ponderation
486  for gamma
487  – Double_t fPiZero[6][6]; // Parameter to Compute PID for pi0

```

488 The macro

```
489 $ALICE_ROOT/EMCAL/macros/RecParamDB/AliEMCALSetRecParamCDB.C
```

490 is an example on how to set the parameters. There are different event types that we might record,
491 and each event type may require different reconstruction parameters. The event types that are
492 now defined in STEER/AliRecoParam.h are:

```
493 enum EventSpecie_t {kDefault = 1, kLowMult = 2, kHighMult = 4, kCosmic = 8,
494                    kCalib = 16};

```

495 The default event species that we have is kLowMult (low multiplicity). For AliRoot versions
496 smaller than release 4.17 it was set to be kHighMult (high multiplicity). Today, the code is as
497 follow :

```
498 kDefault=kLowMult=kCosmic=kCalib.
```

499 kHighMult differs only from the other two in 2 clusterization parameters, for low multiplicity
500 they are fMinECut=10 MeV and fClusteringThreshold=100 MeV and for high multiplicity they
501 are fMinECut=0.45 GeV and fClusteringThreshold=0.5 GeV.

502 A simple example that shows how to print the parameters for the different event species is
503 PrintEMCALRecParam.C

504 **3.5 Simulation parameters**

505 The parameters used in the simulation are stored in EMCAL/Calib/SimParam. What is stored
506 is an object of the class AliEMCALSimParam which is a container of all the parameters used.
507 There are different kind of parameters depending on the step of the simulation :

508 **SDigitization**

- 509 – Float_t fA ; // Pedestal parameter
- 510 – Float_t fB ; // Slope Digitization parameters
- 511 – Float_t fECPrimThreshold ; // To store primary if Shower Energy loss > threshold

512 **Digitization**

- 513 – Int_t fDigitThreshold ; // Threshold for storing digits in EMC = 3 ADC counts
- 514 – Int_t fMeanPhotonElectron ; // number of photon electrons per GeV deposited energy =
515 4400 MeV/photon
- 516 – Float_t fPinNoise ; // Electronics noise in EMC = 12 MeV
- 517 – Double_t fTimeResolution ; // Time resolution of FEE electronics = 600 ns
- 518 – Int_t fNADCEC ; // number of channels in EC section ADC =

519 The macro \$ALICE_ROOT/EMCAL/macros/SimParamDB/AliEMCALSetSimParamCDB.C, is
520 an example on how to set the parameters. A simple example that shows how to print the param-
521 eters is PrintEMCALSimParam.C

522 **3.6 Alignment**

523 4 Simulation code

524 The class AliSimulation manages this part. An example is here : “\$ALICE_ROOT/EMCAL/
525 macros/TestEMCALSimulation.C”. The simulation consists of different steps: geometry and
526 event definition, particle generation, transport of the particle in the material (GEANT) and fi-
527 nally digitization. Note that the final output from the digitization process is different from the
528 processing of real experimental Raw Data. The process of converting the digitized data to Raw
529 Data is discussed in Sec. 4.2. Sec. 4.4 gives the recipe to do all the steps of the simulation.

530 4.1 Step Manger and Hits Creation

531 The majority of time and effort associated with a detector Monte Carlo is involved in the trans-
532 port of the particles, one at a time typically, though the detector geometry. This is handled by a
533 routine typically called the “step manager”. This routine, in general, does a lot of stuff with con-
534 siderable help from other sub-packages. It must determine what size step to make based on the
535 distance to the next volume, the curvature of the track, the probability of some non-continuum
536 process occurring (an interaction), and deal with particles no longer being transported (dropping
537 below cuts); computing the effects of all continuum process (energy loss, fluctuations, and mul-
538 tiple scattering); and outputting, when relevant, any information to the “user”. The majority of
539 these tasks are common to all detectors and are therefor done for us with the help of the geo-
540 metrical modeler and/or simulation framework. To deal with the outputting of information an
541 EMCal specific **StepManager** routine located in the **AliEMCALv1**¹ module, or equivalent is
542 used. Information outputted by this routine are called “hits”, in the AliRoot terminology, and
543 are written to a file called `EMCAL.Hits.root`. Often in production simulations this file will be
544 deleted afters the digits are produced.

545 The EMCal StepManager is called from the Alice implementation of the ROOT virtual Monte
546 Carlo step manager, specifically the routine **AliMC::Stepping**. It inquires, from the transport
547 engine and its geometrical modeler, what material the presently transporting particle is in and
548 deals with a couple of remaining particle transport issues and then calls the detector specific
549 step manager routine. This decoding is done quickly through an array of material ID numbers
550 indexed to their corresponding detectors. Consequently, each sub-detector must have its own
551 unique material definitions obeying the ALICE material numbering conventions. In this way,
552 the addition or absence of a sub-detector is dynamically handled via the initialization of the
553 material/detector array and the `TObject` array of sub-detectors (all derived from the **AliModule**
554 class). This initialization is done by the `Config.C` script.

555 4.1.1 The EMCal Step Manager

556 The first difficulty faced in the EMCal step manager is the extremely large number of tracks
557 produced and all of their individual steps. Recording each step location, momentum, energy
558 loss, and the like, for all of those shower particles would overwhelm most IO systems and create

¹There are more than one version of **AliEMCALv1** depending on differences in geometry and some physics. All are derived from the EMCal class **AliEMCALv0** which is derived from **AliEMCAL**, which is derived from **AliDetector** which is derived from **AliModule**.

559 too much data to try to deal with further on in the simulation. Yet we need the particle transport
 560 engine to generate and transport the majority of these shower particles, otherwise, the signals in
 561 the neighboring towers would be grossly incorrect and any part of a shower which goes beyond
 562 the EMCal would also not be dealt with properly. In much thinner detectors, like the ITS, the
 563 particles parameters at each step is recorded directly into the hits.

564 For each particle entering the EMCal, what we want to record is the energy lost by it and all of
 565 its shower daughters and in which tower this energy loss occurred (and only for the “sensitive”
 566 materials/volumes in the towers). In fact we really only want to associate this tower-wise energy
 567 loss to the “primary” particle. To do this, for as long as the “primary” track hasn’t changed and
 568 the present track is still in the same tower, the signals are added together (by adding their hits
 569 together. This is done in `AliEMCALv1::AddHit`). The determination of the “primary” parent
 570 particle isn’t so difficult, but one need to deal with a number of special cases, and search back
 571 through the parentage tree in some cases.

572 This leads to the 2nd major task of the EMCal step manger routine. It must determine which
 573 tower the transported particle is in. This is very dependent on the details of the geometry and
 574 how it has been coded. We know which volume the particle is in, but since there are many copies
 575 (of the directory like geometry structure. figure 8) of the tower volume, we also need to find the
 576 necessary copy index numbers associated with the specific volume. This is easy to get from the
 577 geometric modeler (ROOT’s TGeo package in our case), but it can be non-trivial to convert these
 578 numbers into the tower, module, super-module index wanted by the following simulation and
 579 reconstruction routines. The present geometry, where a single tower sized scintillator volume
 580 has the lead radiators embedded into it, simplifies this tower determination because there is only
 581 one sensitive tower sized volume and not a lot of individual sheets of scintillator to decode.

582 For the EMCal we do something a bit special (but not untypical for a calorimeter using organic
 583 scintillators). We correct for the diminished light output due to the ionization produced by the
 584 particles proceeding it. This is done by rescaling the energy deposited using Birk’s law, equation
 585 1, as copied from GEANT3’s G3BRIRK routine [1]. This can be switched on or off from the
 586 EMCal creation section of `Config.C` via the `fBirkC0` variable in `AliEMCAL` class². There has
 587 been some debate about the proper way to deal with this in the collaboration, mostly dealing
 588 with the limitations of any Monte Carlo which transports particles one at a time, but it has been
 589 agreed that including such a correction is better than none at all.

$$\begin{aligned}
 \text{Light yield} &= \frac{\Delta E_{\text{deposited}}}{1 + C_1 \delta + C_2 \delta^2} & (1) \\
 \delta &= \frac{1}{\rho} \frac{dE}{dx} \left[\frac{\text{MeV cm}^2}{\text{g}} \right] \\
 C_1 &= \begin{cases} 0.013 \left[\frac{\text{g}}{\text{MeV cm}^2} \right] & Z = 1 \\ 0.00743 \left[\frac{\text{g}}{\text{MeV cm}^2} \right] & Z > 1 \end{cases}
 \end{aligned}$$

²A function needs to be added to this class to allow for setting this value and the Birk’s law constants `fBirkC1` and `fBirkC2`

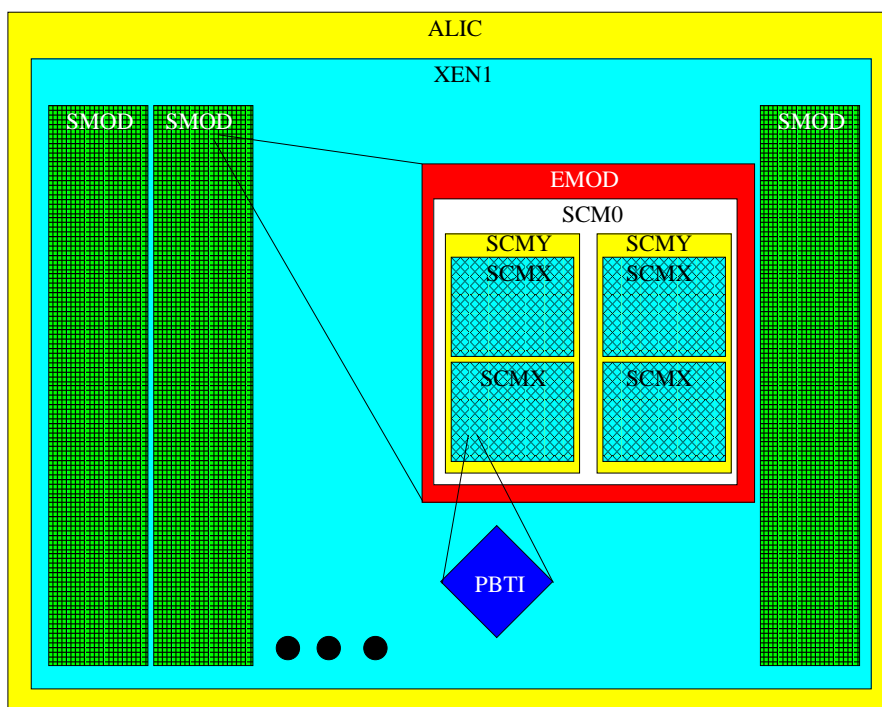


Fig. 8: Here is shown a typical hierarchical geometry structure. This is similar to a directory structure except at each level one or more copies, including translation and rotation operators, of the daughters can be specified.

$$C_2 = 9.6 \times 10^{-6} \left[\frac{g^2}{MeV^2 cm^4} \right]$$

590 The remaining tasks of the EMCal step manager is mostly book-keeping. We only want to
 591 go to all of this effort if there is energy being deposited in the sensitive scintillator volume,
 592 and not the lead radiators or other structural materials. All of the relevant information for the
 593 EMCal hit needs to be gathered. Lastly, the **AliEMCALHit** class needs to be created within
 594 the `TClonesArray` of EMCal hits. This leads to some convoluted looking code involving the
 595 `TClonesArray fHits`, the new operator and the `AliEMCALHit` copy constructor (see **AliEM-**
 596 **CAL::AddHit**).

597 The structure of these `EMCALHit` class (data structure) is simple. It starts with the `AliHit` infor-
 598 mation which consists of the `tTrack` number of the track which entered the EMCal and its `x,y,z`
 599 global position (in cm). The EMCal specific derivation includes the absolute tower ID where
 600 the hit signal is from, the energy deposited by the showering particles originating from this track
 601 in that tower, and the relative time (with respect to the initial event) when this energy was de-
 602 posited, the particle ID of the particle entering the EMCal, the entrance energy of the particle
 603 entering the EMCal, and the energy and momentum of the primary particle entering the EMCal.

604 Just a note, although not included in the code, the addition of the signals from the APD, primarily
605 due to neutrons interacting with the APD, needs to be added. CMS has found that including this
606 effect measurably improves the response of their simulations. This will require an addition to
607 the EMCal step manager, but hopefully not the `EMCALHit` structure.

608 4.1.2 Step Manager and Monte Carlo Setting

609 In the EMCal geometry description there are also settings done, on a medium by medium basis,
610 which are used in the non-EMCal specific step manager code. In `AliEMCAL` where ever a medium
611 is defined (either by a call to `AliMedium` or equivalently to a call to `TGeoMedium`) a list of
612 parameters must be given which effects the size of a step. These parameters are given in Table
613 1.

Table 1

Type	Variable	Description
Int_t	isvol	Sensitive volume flag. 0 Not a Sensitive volume. 1 Sensitive volume.
Int_t	ifield	Magnetic field flag. 0 No magnetic field. -1 User decision in <code>guswim</code> . Not supported in <code>AliRoot</code> . 1 Tracking performed with Runge Kutta. 2 Tracking performed with helix. 3 constant magnetic field along z.
Float_t	fieldm	Maximum magnetic field [kG].
Float_t	tmaxfd	Maximum deflection angle due to magnetic field [degrees].
Float_t	stemax	Maximum step allowed [cm].
Float_t	deemax	Maximum fractional energy loss in one step. $dee = \frac{\Delta E}{E_k}$
Float_t	epsil	Tracking precision [cm]. This effects transition to new volumes.
Float_t	stmin	Minimum step due to continuous processes [cm]. This must be set to 0 so that <code>GEANT3</code> will computing it correctly. Not doing so will adversely effect the simulation.

Table 1: Parameters and flags defined in the EMCal geometry via a call to `AliMedium` or `TGeoMedium`. Because we use a version of `GEANT3` which has its geometrical modeler replaced by `TGeo` geometry, they are the same. This is also true for both `GEANT4`[2] and `Fluka`[3] particle transport Monte Carlos. See figure 4.1.2 for a geometrical description of some of these parameters. This information comes from the `GEANT3` documentation CONS200-1 [1]. .

614 This isn't the whole story in regards to the step manager. There are a number of things which
 615 we need to set/control that don't appear in any EMCal code, but are dealt with in the transport
 616 engines part of the step manager. Such settings and controls are very dependent on the specific
 617 transport Monte Carlo being used. All of these settings and controls have ALICE wide default
 618 values, but most of them we will need to change to get optimal performance and accuracy from
 619 our EMCal simulation. These switches and settings are settable for specific materials. If a
 620 material does not have a set of switches or settings set, the ALICE wide defaults are used.

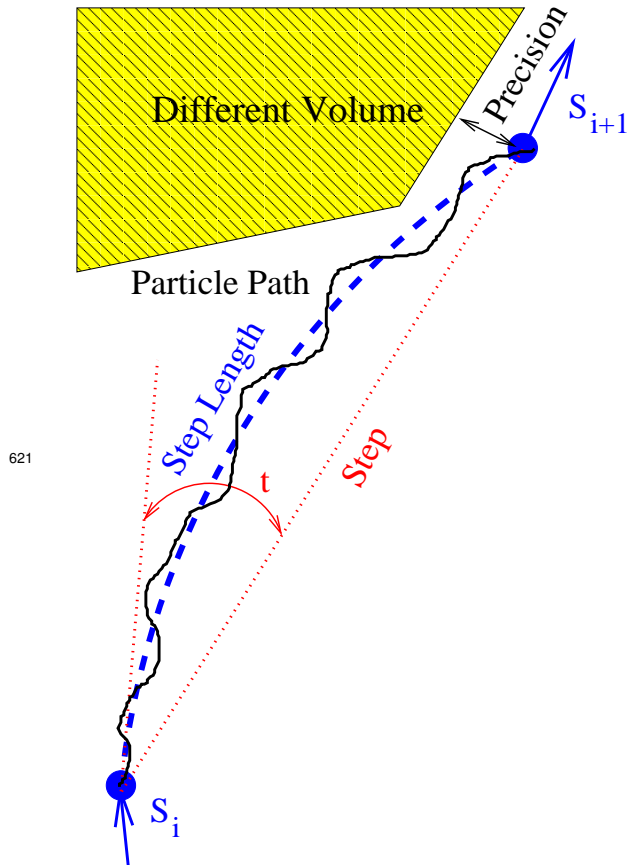


Figure 4.1.2 An exaggerated Monte Carlo step showing some of the considerations associated with transporting a Monte Carlo particle through a step. One step between s_i to s_{i+1} is shown in the dotted (red) line. The dashed (blue) line shows the step length taken due to a magnetic field. The solid (black) line show what the particle path might really be. A new/different volume is shown as the hashed (yellow) area. A new momentum and energy are computed at the end of each step taking into account the energy loss and multiple scattering. Also indicated is the deviation in the step due to an applied magnetic field t , and the precision with which the step has missed the other volume.

622 **GEANT3 Switches and Settings** GEANT3 was the first particle transport Monte Carlo inte-
 623 grated into AliRoot and ROOT's virtual Monte Carlo and so has some of the oldest and simplest
 624 interfaces. For simulation, AliRoot sets many default settings and switches. This is done in
 625 `Config.C` which you can find in `$ALICE_ROOT/macros`. There you will see a number of line of
 626 the form `gMC->SetProcess(char *name,int value)`. The switch names and there ALICE
 627 default values are shown in table 2. There are limits both to the computer's capabilities in dealing
 628 with the number of particles to transport and with the physics models used by GEANT3.
 629 Consequently there are "cuts" used to stop the transport of particles which are below some en-
 630 ergy or are taking too long. The ALICE wide default values are also set in `Config.C` using the
 631 function `gMC->SetCut(char *name, double value)`. All of these values, and those included

632 in the `galice.cuts` file are listed in table 3.

633 The `galice.cuts` file has a fixed format as indicated in `AliMC::ReadTransPar` function. In
 634 this file, lines starting with an “*” are ignored. The remaining lines are required to contain, in
 635 order separated by one or more spaces, `Detector_Name`, `Detector’s_media_number`, and then
 636 the numbered cuts and flags listed in tables 2 and 3.

Table 2

Switch	ALICE Default values	Description
13 ANNI	1	Positron annihilation. The e^+ is stopped. 0 No positron annihilation. 1 Positron annihilation with generation of γ . 2 Positron annihilation without generation of γ .
14 BREM	1	bremsstrahlung. The interaction particle (e^- , e^+ , μ^- , μ^+) is stopped. 0 No bremsstrahlung. 1 bremsstrahlung with generation of γ . 2 bremsstrahlung without generation of γ .
15 COMP	1	Compton scattering. 0 No Compton scattering. 1 Compton scattering with generation of e^- . 2 Compton scattering without generation of e^- .
16 DCAY	1	Decay in flight. The decaying particles stops. 0 No decay in flight 1 Decay in flight with generation of secondaries 2 Decay in flight without generation of secondaries
17 DRAY	0	δ -ray production. 0 No δ -ray production. 1 δ -ray production with generation of e^- . 2 δ -ray production without generation of e^- .
18 HADR	1	Hadronic interactions. The particle is stopped in case of inelastic interactions, while it is not stopped in case of elastic interactions. 0 No hadronic interactions. 1 Hadronic interactions with generation of secondaries. 2 Hadronic interactions without generation of secondaries. > 2 can be used in the user code <code>GUPHAD</code> and <code>GUHADR</code> to choose a hadronic package. These values have no effect on the hadronic packages themselves. Not supported in AliRoot.

Table 2 continued on next page.

Table 2 continued

Switch	ALICE Default value	Description
19 LOSS	2	<p>Continuous energy loss.</p> <p>0 No continuous energy loss, DRAY is forced to 0.</p> <p>1 Continuous energy loss with generation of δ-rays which have an energy above DCUTE and restricted Landau-fluctuations[4] for δ-rays which have an energy below DCUTE (no δ-ray produced).</p> <p>2 Continuous energy loss without generation of δ-rays and full Landau-Vavilov-Gauss[?] fluctuations. In this case DRAY is forced to 0 to avoid double counting of fluctuations.</p> <p>3 Same as 1, kept for backwards compatibility.</p> <p>4 Energy loss without fluctuations. The value obtained from the tables is used directly.</p>
20 MULS	1	<p>Multiple scattering.</p> <p>0 No multiple scattering.</p> <p>1 Multiple scattering according to Moliere[?] theory.</p> <p>2 Same as 1. Kept for backwards compatibility.</p> <p>3 Pure Gaussian scattering according to the Rossi formula[7].</p>
21 PAIR	1	<p>Pair production. The interacting γ is stopped.</p> <p>0 No pair production.</p> <p>1 Pair production with generation of e^+/e^-.</p> <p>2 Pair production without generation of e^+/e^-.</p>
22 PHOT	1	<p>Photoelectric effect. The interacting photon is stopped.</p> <p>0 No photo-electric effect.</p> <p>1 Photo-electric effect with generation of e^-.</p> <p>2 Photo-electric effect without generation of e^-.</p>
23 RAYL	1	<p>Rayleigh effect[?]. The interacting γ is not stopped.</p> <p>0 No Raylieght effect.</p> <p>1 Rayleigh effect.</p>
24 STRA	0	<p>Turns on the collision sampling method to simulate energy loss in thin materials, particularly gasses.</p> <p>0 Collision sampling is off.</p> <p>1 Collision sampling is on.</p>
PFIS	0	<p>Nuclear fission induced by a photon The photon stops.</p> <p>0 No photo-fission.</p> <p>1 Photo-fission with generation of secondaries.</p> <p>2 Photo-fission without generation of secondaries.</p>
MUNU	1	<p>Muon-nucleus interactions. The muon is not stopped.</p> <p>0 No muon-nucleus interactions.</p> <p>1 Muon-nucleus interactions with generation of secondaries.</p> <p>2 Muon-nucleus interactions without generation of secondaries.</p>

Table 2 continued on next page.

Table 2 continued

Switch	ALICE Default value	Description
CKOV	1	Light absorption. This process is the absorption of light photons in dielectric materials. It is turned on by default when the generation of Čerenkov[9] light is requested (in GEANT manual it is LABS). 0 No absorption of photons. 1 Absorption of photons with possible detection.
SYNC	0	Synchrotron radiation in magnetic fields. 0 Synchrotron radiation is not simulated. 1 Synchrotron photon are generated, at the end of the tracking step. 2 Photons are not generated, the energy is deposited locally. 3 Synchrotron photons are generated, distributed along the curved path of their particle.

Table 2: GEANT3 physics process flags. These flags can be set on a material by material basis. The ALICE Default values are set in the `Config.C` file uses the `gMC->SetProcess` function. The setting of these specific flags for any specific material is done in `$ALICE_ROOT/data/galice.cuts` file. The number on the left of the switch name is the column in the `galice.cuts` file that this switch is expected to be found. This information comes from the GEANT3 documentation PHYS001-3 [1].

Table 3

Parameter	ALICE value	Default	Description
3 CUTGAM	$1. \times 10^{-3}$ GeV		Threshold for gamma transport.
4 CUTELE	$1. \times 10^{-3}$ GeV		Threshold for electron and positron transport.
5 CUTNEU	$1. \times 10^{-3}$ GeV		Threshold for neutral hadron transport.
6 CUTHAD	$1. \times 10^{-3}$ GeV		Threshold for charged hadron and ion transport.
7 CUTMUO	$1. \times 10^{-3}$ GeV		Threshold for muon transport.
8 BCUTE	$1. \times 10^{-3}$ GeV		Threshold for photons produced by electron bremsstrahlung.
9 BCUTM	$1. \times 10^{-3}$ GeV		Threshold for photons produced by muon bremsstrahlung.
10 DCUTE	$1. \times 10^{-3}$ GeV		Threshold for electrons produced by electron δ -rays.
11 DCUTM	$1. \times 10^{-3}$ GeV		Threshold for electrons produced by muon or hadron δ -rays.
12 PPCUTM	$1. \times 10^{-3}$ GeV		Threshold for e^{\pm} direct pair production by muons.
TOFMAX	$1. \times 10^{10}$ sec		Threshold on time of flight counted from primary interactions time.

Table 3 continued on next page.

Table 3 continued

Parameter	ALICE value	Default	Description
-----------	-------------	---------	-------------

Table 3: GEANT3 physics process limits. These “cuts” can be set on a material by material basis. The ALICE Default values are set in the `Config.C` file uses the `gMC->SetCuts` function. The setting of these specific flags for any specific material is done in `$ALICE_ROOT/data/galice.cuts` file. The number on the left of the cut name is the column in the `galice.cuts` file that this cut is expected to be found. This information comes from the GEANT3 documentation ZZZZ010-2 [1]

637 **GEANT4 Switches and Settings**

638 **Fluka Switches and Settings**

639 **References**

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663 4.2 Digitization: SDigits and Digits - Evi

664 We want to generate events which look like the real data collected by the experiment. In the end,
665 we want to have an amplitude in ADC counts and a time (when particle traverse a cell) per each
666 cell (tower) of the calorimeter. In the code for calorimeters, it is done in the following steps:

- 667 1. **SDigit** objects are created, they consist of the sum of deposited energy by all Hits in a cell
668 (a particle can create Hits in different cells but only one in a single cell), so there is only
669 one SDigit per fired cell.

- 670 2. **Digit** objects are created, they are like the SDigits but the energy in the cell is transformed
671 into the ADC amplitude units, the electronic noise is added and Digits whose energy does
672 not pass an energy threshold (3 ADC counts) are eliminated. SDigits and Digits are stored
673 in the files **EMCAL.SDigits.root** and **EMCAL.Digits.root**, respectively.

674 4.3 Raw data - David

675 The experiment does not record Digits directly, but instead a series of so-called time samples
676 with 10-bit ADC counts per channel. Each time bin is 100 ns wide, corresponding to a 10
677 MHz readout. These samples are referred to as **Raw Data**. The samples follow a certain signal
678 shape, more complicated than a Gaussian distribution, which is fitted offline. The simulated
679 signal (Gamma-2) shape is described in the AliEMCALRawResponse class, in the RawRespon-
680 seFunction method. With real data, which is zero-suppressed, i.e. has the pedestal subtracted
681 online, the Digits amplitude is just the maximum of the distribution obtained with the fit to the
682 sample. The Digit time (defined by the time the particle hits the active volume of the detector) is
683 the time value at the maximum signal fit. There are methods to go from Digits to Raw and vice
684 versa in the AliEMCALRawUtils class: Raw2Digits and Digits2Raw, respectively. For the re-
685 construction step Digits are needed. The generation of Raw Data is optional during simulations
686 and the generated data can be reconstructed directly from Digits, but Raw data is the initial step
687 when reconstructing real data.

688 4.4 How to make a simulation

689 TestEMCALSimulation.C is a very simple macro where we specify all the simulation parameters
690 and process the simulation. Below is a similar but a bit more elaborated macro:

```

1 void TestEMCALSimulation() {
2
3 TString detector="EMCAL TPC"; // Define in this variable the detectors that you want to be
   included in the simulation for the digitization . They can be less detectors than the
   detectors defined in the Config.C file , imagine that you want all the detectors in front
   of EMCal present to consider the conversion of particles but you are not really
   interested in the output from these detectors .
4 // Option detector="ALL" makes all detectors .
5
6 AliSimulation sim ; //Create simulation object
7
8 // Generation and simulation
9
10 sim.SetRunGeneration(kTRUE) ; //Default value is kTRUE, make generation
11 // For some reason we may want to redo the Digitization , without redoing the generation , in
   this case it must set to kFALSE
12
13 // Making SDigits
14 sim.SetMakeSDigits(detector) ; //We want to make SDigits
15 // set no detectors if SDigits are already made
16
17 // Making Digits
18 sim.SetMakeDigits(detector) ; //We want to make Digits
19 // set no detectors if SDigits are already made
20
21 //Merging
22 //sim.MergeWith("bgrd/galice.root") ; // If we want to merge a signal and a background, the
   merging is done at the SDigit level . The background must be located in the repertory
   defined in the method.
23
24 //Write Raw Data, make Raw data from digits
25 //sim.SetWriteRawData(detector) ;
26 //sim. SetConfigFile (" somewhere/ConfigXXX.C"); //Default is Config.C
27
28 //Make the simulation
29 sim.Run(3) ; // Run the simulation and make 3 events

```

692

693 **5 Reconstruction code**

694 The energy deposited by the particles in the towers produces scintillating light that is propagated
695 with optic fibers through the different layers to APD placed at the base of the cells. The APDs
696 amplify the signal and generate an electronic pulse shape that is stored in the raw data format.
697 From this pulse shape, we extract the signal amplitude and the arrival time. The pulse shape is
698 fitted during the reconstruction via a parametrized function and TMinuit, and those 2 values are
699 extracted.

700 A particle produces signals in different towers (electromagnetic shower expands more than its
701 Molière radius which is a cell size). The next step is the formation of clusters of cells that belong
702 to the same particle, although depending on the energy, granularity, clusterization algorithm or
703 event type, those clusters might have contributions from different particles. The default algo-
704 rithm in pp collisions is a simple aggregation of neighboring cells until there is no more cells
705 above a certain energy threshold (named *clusterizer V1*). In case of Pb-Pb collisions environ-
706 ment, where particle showers merge quite often, we apply another algorithm that aggregates
707 cells to the clusters until reaching a cell with more energy than the precedent (named *cluster-*
708 *izer V2*). Depending on the analysis type, one might want to use one or the other clusterization
709 type. For this reason, a re-clusterization is also possible at the analysis level. A last clusterizer
710 is implemented, which makes 3x3 clusters. It has been used in jet analysis for instance in order
711 to avoid biasing jet reconstruction where one is interested in the energy flow over a large area
712 without explicit reconstruction of photon showers and where the driving consideration is that
713 the wide clusterizer does not interfere with the jet finder. For π^0 , η , and direct γ analyses, V2 is
714 most likely preferable).

715 Once the cluster is defined, we calculate cluster parameters, shower shape parameters, that will
716 help at the analysis level to identify each cluster as one particle type. Also, we compare the
717 cluster position information with the propagation of tracks measured in the central barrel to the
718 EMCAL surface, to identify the clusters generated by charged particles.

719 The final analysis objects, ESDs and AODs, contain all the cluster and cell basic informations
720 allowing to redo the clusterization if needed at the analysis level.

721 **5.1 Offline data base access**

722 How to create explained OCDB/OADB section.

723 **5.1.1 Energy calibration**

724 **5.1.2 Bad channels - Marie, Alexis**

725 **5.1.3 Alignment - Marco**

726 **5.2 Raw data fitting: from ADC sample to digits - David**

727 As also discussed in Sec. 4.3, the recorded Raw data consists of instead a series of so-called
728 time samples with 10-bit ADC counts per channel. Each time bin is 100 ns wide, corresponding
729 to a 10 MHz readout. The expected signal (Gamma-2) shape is described e.g. in the AliEM-

730 CALRawResponse class, in the RawResponseFunction method. The reconstruction from Raw
731 data to Digits is done in the AliEMCALRawUtils class, Raw2Digits method. The Raw ADC
732 time samples data is kept in AliCaloBunchInfo objects, which are given as input to an AliCalo-
733 RawAnalyzer object, which returns the signal amplitude and time information (in the form of
734 an AliCaloFitResults object). There are several different AliCaloRawAnalyzer versions, which
735 can be selected via AliEMCALRawUtils::SetFittingAlgorithm(). They are:

- 736 – kStandard: AliCaloRawAnalyzerKStandard, which is a (slower but simple) Gamma-2 fit
737 implementation.
- 738 – kFastFit: AliCaloRawAnalyzerFastFit, which is a faster Gamma-2 fit implementation
739 from Aleksei Pavlinov.
- 740 – kNeuralNet: AliCaloRawAnalyzerNN, which is a neural network implementation from
741 Paola La Rocca and Franco Riggi.
- 742 – kPeakFinder: AliCaloRawAnalyzerPeakFinder, which is a fast (parameterized vector op-
743 erations) implementation from Per Thomas Hille.
- 744 – kCrude: AliCaloRawAnalyzerCrude, which is the simplest possible algorithm: just take
745 the maximum ADC value as the signal amplitude.
- 746 – kFakeAltro: AliCaloRawAnalyzerFakeALTRO, which is an algorithm intended for the
747 Trigger/TRU raw data analysis, i.e. not for the regular FEE or cell/tower data.

748 **5.3 Clusterization: From digits to clusters - Adam**

749 The set of information related to one cell - (between each other) the position of cell and the
750 energy deposited in it is called a digit. The digit is represented by the AliEMCALDigit class. A
751 group of digits which are related somehow between each other is called a cluster. The cluster is
752 represented by the AliEMCALRecPoint class.

753 Transformation from digits to clusters is done during clusterization phase. There are many ideas
754 how to form cluster. Each applied idea is called clusterizer. Currently, there are four types of
755 clusterizers in the EMCal:

- 756 – Clusterizer V1,
- 757 – Clusterizer V2.
- 758 – Clusterizer V1 with unfolding,
- 759 – Clusterizer NxN,

760 Technically it is organized in the following way. AliEMCALClusterizer is a base clusterizer
761 class. The V1 and NxN clusterizer classes (AliEMCALClusterizerV1 and AliEMCALClusterizerNxN,

762 respectively) inherit from the base class. The clusterizer class V2 (`AliEMCALClusterizerV2`)
 763 inherits from `AliEMCALClusterizerV1`. The third case of clusterization (clusterizer V1 with
 764 unfolding) is realized via settable option in the `AliEMCALClusterizerV1` class. The dedicated
 765 class `AliEMCALUnfolding` was written for the purpose of unfolding. The description of each
 766 clusterizer type separately and common clusterization structure together with a description of
 767 the cluster is explained below.

768 5.3.1 Clusterization in the EMCal

769 A clusterizer is called in the `AliEMCALReconstructor` class. The set of clusterizer parameters
 770 is initialised. Usually parameters are taken from the OCDB. The full set of parameters which
 771 are used during clusterization is given in Tab. 4. The other fields of the `AliEMCALClusterizer`
 class are given in Tab. 5. Also input and output are connected. Finally, a clusterization phase is

Name	Type	Explanation
fTimeMin	Float_t	minimum time of physical signal in a cell/digit
fTimeMax	Float_t	maximum time of physical signal in a cell/digit
fTimeCut	Float_t	maximum time difference between the digits inside EMC cluster
fToUnfold	Bool_t	says if unfolding should be performed
fECAClusteringThreshold	Float_t	minimum energy to seed a EC digit in a cluster
fECALocMaxCut	Float_t	minimum energy difference to distinguish local maxima in a cluster
fECAW0	Float_t	logarithmic weight for the cluster center of gravity calculation
fMinECut	Float_t	minimum energy for a digit to be a member of a cluster
fSSPars[8]	Double_t	shower shape parameters
fPar5[3]	Double_t	shower shape parameter 5
fPar6[3]	Double_t	shower shape parameter 6

Table 4: Parameter name, type and explanation.

772 done in a `Digits2Clusters` method. Main steps run as follow:
 773

- 774 1. Get calibration parameters (method `GetCalibrationParameters`),
- 775 2. Get pedestal parameters (method `GetCaloCalibPedestal`),
- 776 3. Make clusters (method `MakeClusters`),
- 777 4. Make unfolding or not (method `MakeUnfolding`),
- 778 5. Evaluate cluster properties (`AliEMCALRecPoint` class methods),
- 779 6. Store clusters.

780 In the first two steps calibration (ADC counts to energy conversion) and pedestal parameters
 781 are read from a database. The third step, where clusters are formed, is different for each clus-
 782 terizer. However, some beginning parts of this step are common for each algorithm. The input

Name	Type	Explanation
fADCchannelECA	Float_t	width of one ADC channel for EC section (GeV)
fADCpedestalECA	Float_t	pedestal of ADC for EC section (GeV)
fTimeECA	Float_t	calibration parameter for channels time
fIsInputCalibrated	Bool_t	to enable reclusterization from ESD cells
fJustClusters	Bool_t	false for standard reco
fDigitsArr	TClonesArray*	pointer to array with EMCAL digits
fTreeR	TTree*	pointer to tree with output clusters
fRecPoints	TObjArray*	pointer to array with EMCAL clusters
fGeom	AliEMCALGeometry*	pointer to geometry for utilities
fCalibData	AliEMCALCalibData*	pointer to calibration database if available
fCaloPed	AliCaloCalibPedestal*	pointer to tower status map if available
fDefaultInit	Bool_t	says if the task was created by default ctor
fNumberOfECAClusters	Int_t	number of clusters found in EC section
fClusterUnfolding	AliEMCALUnfolding*	pointer to unfolding object

Table 5: Other fields in the `AliEMCALClusterizer` class.

783 is the same. It is an array of fired digits with electronic signal registered in each of them.
784 Also calibration and cleaning the array of digits, to work with reduced sample of digits, is the
785 same for each algorithm. This common part is done in the common method `Calibrate` of the
786 `AliEMCALClusterizer` class. Here, we require the proper timing (via selection of `fTimeMax`
787 and `fTimeMin` of a given digit), status (check of dead channel map) and calibrate energy and
788 time of each digit. If any digit fails to pass one of requirement it is rejected from a “working
789 array” of digits (pool of digits). In `make clusters` step the `AreNeighbours` method is used. The
790 method could differ for each clusterization algorithm. The explanation how clusters are formed
791 is given in next four subsections for each clusterization algorithm, respectively. The next step
792 (unfolding) is an option in each clusterizer, but currently is used only for the V1 clusterizer.
793 The last two steps (evaluation of a cluster properties and its recording) are the same for each
794 algorithm.

795 5.3.2 Clusterizer V1

796 Having obtained “working array” of digits in the V1 algorithm we additionally reject digits with
797 energy smaller than `fMinECut`, which is set to be 10 MeV in the database by default.

798 After selecting digits we form clusters. We loop over all digits to find the first seed digit with
799 energy greater than `fECAClusteringThreshold` (default value is 100 MeV). When the seed digit
800 is found it is associated to a new cluster and removed from the “working array” of digits. We
801 loop over all remaining digits to look for neighbours of the seed digit. The neighbour digits
802 are called digits which have at least common side (i.e.: row index difference or column index
803 difference must be equal 1, but not both of them at the same time are equal 1, so one cell can
804 have four neighbours at maximum). The additional requirement on neighbour digits is applied.
805 The absolute value of time difference for two digits must be less or equal `fTimeCut`.

806 The neighbour digits are associated to the cluster (also removed from the “working array” of
807 digits) and we keep on looking for neighbours of each digit associated to the cluster. When a
808 digit is associated to one cluster it cannot be associated to other one. When there are no more
809 neighbours of digits in one cluster one can say that this cluster is formed. Once the cluster is
810 formed and there are still remaining digits in the “working array” the procedure starts to check
811 seeds and all the story repeats until no seed digit is found. The consequence of such algorithm
812 is that one cluster can contain all digits in the super-module. The other thing is that there can
813 be digits which are not associated to any cluster. The special case when cluster is formed from
814 digits in two super-modules at the same SM- ϕ angle is also supported.

815 **5.3.3 Clusterizer V2**

816 The algorithm starts with the pool of digits. Then the most energetic digit with the energy
817 over `fECAClusteringThreshold` is taken. It is the seed of a cluster. We scan over digits
818 already associated to the cluster and check for neighbours. It is the iterative procedure. Here,
819 the absolute value of the time difference of seed and neighbours digits should be less or equal
820 `fTimeCut`. The definition of neighbours is the same like in V1 clusterizer, however, energy
821 of neighboring digit should be smaller in order to become a neighbor. `fDoEnGradCut` flag is
822 responsible for application of the last condition. If the process of one cluster formation ends we
823 start from the point where new and the most energetic digit is found in the pool of digits and
824 repeat other steps until no digit remains in the pool.

825 **5.3.4 Clusterizer V1 with unfolding**

826 The main goal of unfolding is to divide multi-maxima clusters into single-maxima clusters and
827 split energy of unfolded clusters. The unfolding is an option which is switched off by default.
828 It can be switched on in every clusterizer. However, as the output of V2 and NxN algorithms
829 clusters are already small and contains only one maximum. The V1 clusterizer provides multi-
830 maxima clusters, so unfolding can be reasonably used only in V1 method of clusterization.

831 Unfolding uses already reconstructed clusters from V1 as an input and modify (split and share
832 energy in digits among several clusters) them if necessary. The unfolding scheme can be divided
833 into several steps:

- 834 1. Maxima finding.
- 835 2. Fit.
- 836 3. Reclustering.

837 **Maxima finding.** As the first step number of local maxima is defined in one cluster. A cell
838 is a local maximum when its energy deposit is greater than the energy deposit in each of its
839 neighboring cells (by neighboring cell we understand here two cells touched by side or corner,
840 so one cell can have 8 neighbours at maximum) by at least some constant value. This constant
841 value is called the minimum energy difference between two local maxima (`fECALocMaxCut`)
842 and as a default is equal to 30 MeV. In the particular case where two neighboring cells have a

843 similar energy deposit (the difference between energy of two cells is below a certain value) the
 844 cluster is treated as a flat one with no maximum.

845 The outcome of the maximum finding procedure is divided in two cases. In the case no pro-
 846 nounced maximum or only one maximum is found unfolding is not applied and cluster is not
 847 touched. If there are at least two maxima the procedure of unfolding starts running.

848 **Fit.** The next step is the fitting procedure which allows to disentangle overlapping clusters
 849 based on the knowledge of what should be the typical shower shape of a γ particle. The energy
 850 distribution in a single photon cluster (shower shape) is described by following function:

$$f(r) = P_0 \cdot \exp(-(2.332 \cdot r)^{P_1}) \cdot \left(\frac{1}{P_3 + P_4 \cdot (2.332 \cdot r)^{P_1}} + \frac{P_5}{1 + (2.332 \cdot r)^{P_2} \cdot P_6} \right), \quad (2)$$

851 where $P_{0,1,2,3,4,5,6}$ are parameters and r is a distance between a cell center and the center of
 852 gravity of a cluster. This function is constant for given ϕ region and it is our reference to start to
 853 unfold clusters. One single photon cascade can be described by the shower shape function with
 854 fixed parameters. However to locate it in the detector we need 3 parameters: position of center
 855 of gravity of cluster in ϕ and η coordinates and a cluster's energy. In case of a single photon
 856 cluster we could fit just mentioned 3 parameters. If there are more maxima we start with more
 857 parameters. The correlation is very simple. One maximum found corresponds to 3 parameters
 858 which we want to fit. The initial value of parameters for one maximum are following: position
 859 of the local maximum cell in ϕ and η coordinates and its energy. TMinuit package is called to
 860 minimize the χ^2 between the shower shape function of a single γ and the shower shape spectrum
 861 of the cluster being unfolded. The outcome of the fit is the set of parameters which describe
 862 center of gravity and energy of each unfolded cluster.

863 **Reclustering.** The last step is to build in terms of cell energy attribution the two (or more)
 864 clusters obtained splitting the original big blob cluster. There is an obvious constraint for the
 865 energy in each cell. The sum of the energies associated to the different clusters returns the
 866 measured energy associate at the cell. For each cell, and for each split cluster, the fit result from
 867 the previous step provides an expected value which can be used as weight to distribute the cell
 868 energy among the different unfolded clusters. The total (measured) signal present in the cell is
 869 shared among the split clusters with the proportion given by the fit function values. The split
 870 (unfolded) clusters are built based on these new cell entries.

871 The energy of each cell in the unfolded cluster should be above a certain energy threshold E_{th}
 872 ($fThreshold$). By default energy threshold is set to be $E_{th} = 10$ MeV. If a cell after unfolding in
 873 a given cluster has an energy below threshold, this cell is rejected from this cluster and its energy
 874 is shared among other clusters, proportionally to the energy of this cell in other clusters. If after
 875 unfolding only one cluster contains a cell with energy above threshold cells below threshold
 876 are rejected from other clusters and the full energy is associated to the cell with energy above
 877 threshold. If after unfolding each energy of cell is below threshold then the whole energy is
 878 associated to the most energetic cell.

879 The number of new (unfolded) clusters will be the same as the number of local maxima found

880 during the first step above. When unfolding succeeds the original big blob cluster is replaced by
 881 several unfolded clusters. Unfolding method is precisely described in [?].

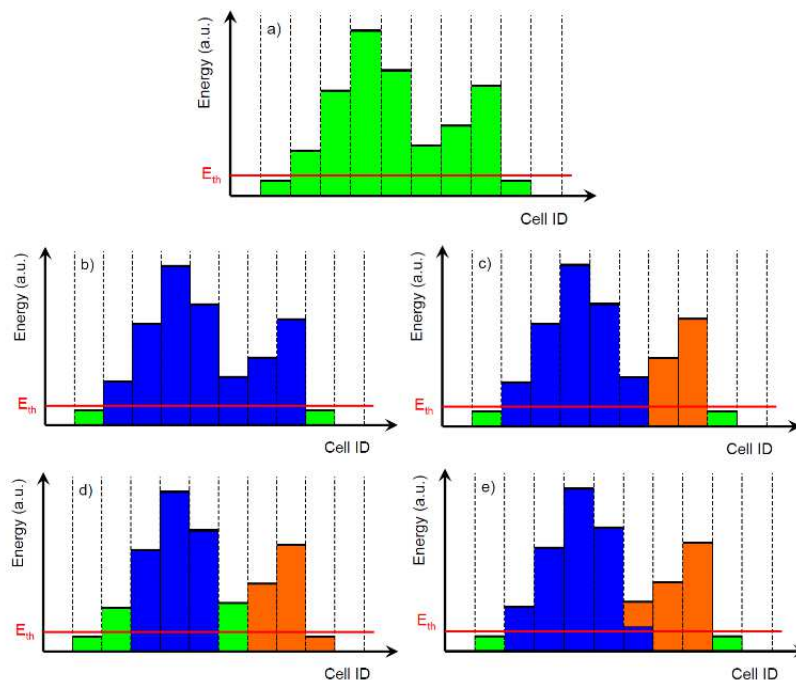


Fig. 9: Comparison of different algorithms of clusterization. Boxes represent energy in cells. E_{th} is clusterization threshold $fMinECut$. a) Energy in cells before clusterization marked by green color. b) Result of V1 clusterizer. There is one big cluster made of cells in blue color. Green cells are below threshold and not associated to the cluster. c) Result of V2 algorithm. There are two clusters made of blue and orange cells. Green cells are below threshold and not associated to any cluster. d) Result of NxN clusterizer. There are two clusters made of blue and orange cells. Green cells are not associated to any cluster. e) Result of V1 algorithm with unfolding. There are two clusters made of blue and orange cells. One cell is associated to two clusters and its energy is shared. Green cells are below threshold and not associated to any cluster.

881

882 5.3.5 Clusterizer NxN

883 The highest energy digit which exceeds energy threshold $fMinECut$ is looked for in the pool of
 884 digits. This digit is a seed for a new precluster. To form a precluster we loop over remaining
 885 digits and check whether they are neighbours of the seed digit. The energy of a neighbour
 886 should be smaller than the energy of the seed. Here neighbours are defined in the other way than

887 in the V1 clusterizer: row index difference or column index difference must be less or equal
888 1. In such requirement one cell can have eight neighbours at maximum. Here neighbours must
889 fulfill also timing condition. The absolute value of time difference for two digits must be less or
890 equal `fTimeCut`. The precluster starts to be a cluster only if a precluster energy is larger than
891 clustering threshold given by `fECAClusteringThreshold`. If the requirement is satisfied a new
892 cluster is formed from the precluster and digits which belong to precluster are removed from the
893 pool of digits. Otherwise only the seed digit is removed from the pool of digits. The procedure
894 is repeated but with a new seed if available. Here maximum size of a cluster is 3×3 cells.
895 However, digits associated to the cluster do not fulfill the energy threshold condition (energy
896 of digit greater than `fMinECut`). The special case when cluster is formed from digits in two
897 super-modules at the same SM- ϕ angle is also supported.

898 Different methods of clusterization are compared in Fig. 9.

899 **5.3.6 Cluster in the EMCal**

900 A cluster is represented by the `AliEMCALRecPoint` class. This class contains an information
901 about cluster itself (energy, multiplicity, local or global position, etc.), features of the cluster
902 (shower ellipse axes, dispersion, etc.) and digits belonging to the cluster (index, energy, etc.).
903 The list of important fields is shown in Tab. 6.

Name	Type	Explanation
fAmp	Float_t	summed amplitude of digits
fIndexInList	Int_t	the index of this RecPoint in the list stored in TreeR (to be set by analysis)
fGlobPos	TVector3	global position
fLocPos	TVector3	local position in the sub-detector coordinate
fMulDigit	Int_t	total multiplicity of digits
fMulTrack	Int_t	total multiplicity of tracks
fDigitsList	Int_t*	[fMulDigit] list of digit's indexes from which the point was reconstructed
fTracksList	Int_t*	[fMulTrack] list of tracks to which the point was assigned
fClusterType	Int_t	type of cluster stored: v1
fCoreEnergy	Float_t	energy in a shower core
fLambda[2]	Float_t	shower ellipse axes
fDispersion	Float_t	shower dispersion
fEnergyList	Float_t*	[fMulDigit] energy of digits
fAbsIdList	Int_t*	[fMulDigit] absId of digits
fTime	Float_t	Time of the digit with maximal energy deposition
fNExMax	Short_t	number of (Ex-)maxima before unfolding
fCoreRadius	Float_t	The radius in which the core energy is evaluated
fDETracksList	Float_t*	[fMulTrack] list of tracks to which the point was assigned
fMulParent	Int_t	Multiplicity of the parents
fMaxParent	Int_t	Maximum number of parents allowed
fParentsList	Int_t*	[fMulParent] list of the parents of the digits
fDEParentsList	Float_t*	[fMulParent] list of the parents of the digits
fSuperModuleNumber	Int_t	number identifying super-module containing recpoint, reference is cell with maximum energy
fDigitIndMax	Int_t	Index of digit with max energy in array fAbsIdList
fDistToBadTower	Float_t	Distance to nearest bad tower
fSharedCluster	Bool_t	States if cluster is shared by 2 super-modules in same phi rack (eg. 0,1)

Table 6: Basic fields in the AliEMCALRecPoint class.

904 5.4 Cluster-Track matching - Rongrong, Shingo, Michael

905 Even though EMCal is intended to measure the energy of particles that interact with EMCal via
906 electromagnetic showering, e.g. photons and electrons, charged hadrons can also deposit energy
907 in EMCal, most commonly via minimum ionization, but also via nuclear interactions generating
908 hadronic showers. In the analysis where the distinction between hadronic and electromagnetic
909 showers is necessary, cluster-track matching is often used to meet this requirement.

910 The main method used to extrapolate tracks in the ALICE software framework is:

```
911 1 static Bool_t PropagateTrackToBxByBz (AliExternalTrackParam *track, Double_t x,  
Double_t m, Double_t maxStep, Bool_t rotateTo=kTRUE, Double_t maxSnp=0.8, Int_t  
sign=0, Bool_t addTimeStep=kFALSE);
```

912 which takes the following arguments: “*track*” stores all the information of the starting point for
913 the extrapolation; “*x*” is the coordinate of the destination plane in the local coordinate system;
914 “*m*” is the mass assumption for the track; “*maxStep*” is the step size used in the extrapolation.
915 This method extrapolates the track trajectory to a destination plane in a magnetic field, taking
916 into account the energy loss of the tracks when going through detector materials. However, the
917 energy loss model is tuned for charged hadrons, so it does not work very well for electrons or
918 positions whose primary energy loss process is bremsstrahlung.

919 For EMCal, the track-cluster matching is done by default in the reconstruction chain and the
920 code is implemented in:

```
921 AliEMCALTracker::PropagateBack (AliESDEvent* esd)
```

922

923 The logic of the matching procedure is the following:

- 924 – Check whether TPC is available in DAQ/reco. See `AliEMCALTracker::LoadClusters()`. In
925 case there is no TPC, ITS specific extrapolation will be used.
- 926 – Find all the EMCal clusters in the event. See `AliEMCALTracker::LoadClusters()`.
- 927 – Find all the good tracks in the event. See `AliEMCALTracker::LoadTracks()`. Several cuts
928 are applied to select good tracks
 - 929 – Minimum p_T cut, which can be set during the reconstruction.
 - 930 – Cut on number of TPC clusters, which can be set during the reconstruction. This
931 specific cut is avoided in case there is only ITS available in reconstruction.
 - 932 – $|\eta| < 0.8$ and $20^\circ < \phi < 120^\circ$. These fiducial cuts are hard coded since tracks out of
933 this range should never make it to EMCal.

- 934 – For each good track, find the nearest cluster as matched if their residuals fall within the
935 cuts. See `AliEMCALTracker::FindMatchedCluster()`, which follows the following steps:

- 936 – Get the starting point: if the *friendTrack* is available, use the last point on the TPC.
937 Otherwise, use the point at the inner wall of the TPC.

- 938 – If only ITS tracks are available in reconstruction, the propagation will use the track
939 information from the vertex.

- 940 – Extrapolate tracks to the EMCal surface at 430 cm, and apply fiducial cuts on the ex-
941 trapolated points: $|\eta| < 0.75$ and $70^\circ < \varphi < 190^\circ$. The step size in the extrapolation
942 can be set in the reconstruction, and the default value is 20 cm.

- 943 – Extrapolate tracks further, with 5 cm step size, to the positions of all the EMCal
944 clusters which are in the vicinity of the extrapolated points from last step. Then the
945 distance between extrapolated tracks to the clusters are calculated, and the nearest
946 cluster is assigned as matched if the residuals fall within cuts. By fitting the distri-
947 butions of the residuals using Gaussian functions, we can choose to cut on $N\sigma$ of the
948 residuals. To further improve the matching performance, p_T and charge dependent
949 cuts can be used.

950 **5.5 How to execute the reconstruction**

951 Executing the reconstruction is very similar to the simulation case, see the macro `TestEMCAL-`
952 `Reconstruction.C` (a bit more detailed than the one in `$ALICE_ROOT/EMCAL/macros`) :

```

1 void TestEMCALReconstruction() {
2
3 TString detector="EMCAL TPC"; //Same function as in Simulation.C
4 // TString detector="EMCAL ITS"; if user wants ITS tracking to be used.
5
6 AliReconstruction rec; //Create reconstruction object
7
8 //Making Tracking
9 rec.SetRunTracking(detector) ;
10
11 // Particle Reconstruction . Make Rec Points
12 rec.SetRunReconstruction(detector);
953
14 //read RAW data. Give directory where raw data is stored
15 // rec . SetInput ("RawDataDirectory/raw.root");
16
17 //Make vertex finder
18 rec.SetRunVertexFinder(kFALSE) ; //false only if the tracking detectors are not included.
19
20 // Fill ESD file with RecPoints information .
21 rec.SetFillESD(detector) ;
22
23 //Run Reconstruction
24 rec.Run() ;
25 }

```

954

955 **6 Calibration and detector behavior**

956 **6.1 Calibration**

957 This section describes how different correction factors are obtained: the energy calibration (MIP,
958 π^0 , run by run), the time calibration and the bad channel mask.

959 All these correction factors or masks are stored in the OCDB but also the OADB. Since these
960 calibration parameters do not arrive before the full ALICE data reconstructions of the first pe-
961 riods are completed, the parameters are stored not only in the OCDB but also in the OADB so
962 that the clusters can be corrected at the analysis level. For the moment we do not store the time
963 calibration and run by run correction factors in OCDB just in OADB.

964 **6.1.1 Energy calibration: MIP calibration before installation - Julien**

965 First, the calibration is done on cosmic measurements before installing the SuperModules at P2,
966 but the accuracy obtained using MIPs is not good enough.

967 **6.1.2 Energy calibration: π^0 - Catherine**

968 The energy calibration relies during data taking on the measurement of the π^0 mass position
969 per cell. Each tower has a calibration coefficient. In what follows, a calibration parameter is
970 equal to the result of the fitted mass over the PDG mass value, where the fitted mass denotes
971 the mass given by a gaussian fit on the π^0 invariant mass peak distribution in a given tower
972 (plus a combinatorial background, fitted by a 2nd degree polynomial). About 100-200 M events
973 EMCAL (L0) triggered (trigger threshold at 1.5-2 GeV) allow to calibrate a majority of the
974 towers. The towers located on rows 0 and 23 of each super modul (SM) and those behind the
975 support frame (about 5 columns per SM) have much fewer statistics and would need a minimum
976 of 150 Mevts (probably more). It is to be noted that the run-to-run temperature variations change
977 the towers' response in a non-uniform way, i.e. the width of the π^0 peak increases, and the mean
978 π^0 mass is shifted differently for the various towers. Also the π^0 mass shifts to lower values for
979 the towers with material in front, due to photoconversion close to the EMCAL surface.

980 A few iterations on the data, obtaining in each iteration improved calibration coefficients, are
981 needed to achieve a good accuracy (1-2%). Since the online calibration has a strong effect on
982 the trigger efficiency, the voltage gains of the APDs are varied after each running period, to get a
983 uniform trigger performance. Still, some towers are difficult to calibrate because they are behind
984 of a lot of material (TRD support structures). For those MIPs or J/Ψ measurements could help.

985 **π^0 Calibration Procedure**

986 Since π^0 s decay into 2 gammas, their invariant mass is calculated from the energy of 2 clusters
987 (and angle between the clusters). The position of the invariant mass peak of a tower therefore
988 doesn't depend only on its response and calibration coefficient, but also on an average of the
989 responses and calibration coefficients of all the other towers of the SM, weighted by how often
990 they appear in combination with a cluster in the considered tower. The 2nd effect, of weaker
991 magnitude maybe, originates from the fact that a cluster most often covers more than the con-
992 sidered tower. To simplify the calibration process, the calibration coefficient is calculated as if

993 the whole energy of the cluster was contained in the tower of the cluster which has the largest
994 signal. So the position of the invariant mass peak of a tower also depends on an average of the
995 responses and calib coeffs of its neighbouring towers. For these reasons, the calibration of the
996 calorimeter with the π^0 is an iterative procedure :

- 997 – Set all calib coeffs to 0 in OCDB.
- 998 – Reconstruct the π^0 's with these OCDB coeffs.
- 999 – Run the analysis code on this data to produce the analysis histograms and a 1st version of
1000 the calib coeffs.
- 1001 – Look at the fits on the towers invariant mass histograms and discard the value (or set it by
1002 hand) of the calib coeff of the towers for which the fit can't be trusted.
- 1003 – Create a 1st set of OCDB coeffs.
- 1004 – Reconstruct the π^0 's with these OCDB coeffs.
- 1005 – Run the analysis code on this data to produce the analysis histograms and a 2nd version
1006 of the calib coeffs.
- 1007 – Look at the fits on the towers invariant mass histograms and discard the value (or set it by
1008 hand) of the calib coeff of the towers for which the fit can't be trusted.
- 1009 – Create a 2nd set of OCDB coeffs.
- 1010 – Etc..., until the invariant mass is satisfactory in all the towers.

1011 When the statistics is enough, 4 iterations should be enough to finalize the calibration (in prac-
1012 tice, more are needed, due to outliers or studies that are needed).

1013 There are 3 sets of codes :

- 1014 – Reco code : reads the data, reconstructs the π^0 inv mass distrib in each tower after it applies
1015 some cuts on the clusters and π^0 parameters. The output is a root file with invariant mass
1016 histograms (per tower, and summed-up per SM, per pT-bin).
- 1017 – Analysis code : reads the file produced by the reco code and analyses the histograms to
1018 produce the calib coeffs. This code is the one I present in what follows.
- 1019 – A code which reads the calib coeffs and writes them into a format that is loadable to
1020 OCDB.

1021 The code is located in EMCAL/calibPi0/ :

- 1022 – macros/ : contains the various macros.

- 1023 – input/ : contains the root files produced by the analysis code for the various iterations
 1024 ("passes"). It has subdirectories "pass0/", "pass1/", etc... with, in each dir, the root file.
- 1025 – output/ : contains the various files produced by the analysis code for the various passes.
 1026 It has subdirectories "pass0/", "pass1/", etc... with, in each dir, the various output files
 1027 related to the pass.³

1028 The cuts which must be put in the reconstruction are :

- 1029 – Bad towers masked.
- 1030 – Both clusters in the same SM (to avoid misalignment effects).
- 1031 – Cut the 1-tower clusters out.
- 1032 – 20 ns timing cut.
- 1033 – Non-linearity correction (for the cluster energy)– from beam test AFAIK.
- 1034 – No asymetry cut.
- 1035 – $E_{cluster} > 0.8$ GeV, or 0.7 GeV if there is little statistics. Tests showed that to remove the
 1036 residual non-linearity (the π_0 invariant mass rises with p_T), tightening the cut on $E_{cluster}$
 1037 was more efficient than requiring symmetric decays (both gamma's of similar energy) (e.g.
 1038 $asym < 0.5$ with $E_{gamma} > 0.5$ GeV).

1039 It has the possibility to mask some areas. This is useful to disentangle the zones which have
 1040 more material in front of them from those which don't. In the invariant mass distributions, the
 1041 π^0 candidates kept are only those for which both clusters belong to the non-masked zones. In
 1042 2011, we considered masking the zones behind the support frame (in all the SMs or only in the
 1043 SMs with TRD modules in front of them, i.e. SM 6-9 that year), plus additionnal problematic
 1044 zones, to avoid taking clusters in these zones for the calculation of the average invariant mass in
 1045 the towers with less material. (NB : not used for final calibration results, but for studies).

1046 The analysis code has 3 input files :

- 1047 – the root file f05 with inv mass histograms produced by the reconstruction code,
- 1048 – a file txtFileIn (output_calibPi0_parameters.txt) that contains the values of various param-
 1049 eters of the fit for each tower, at the previous pass,
- 1050 – a file txtFilePrevCalib (output_calibPi0_coefs_clean.txt) that contains the value of the
 1051 calibration coefficient for each tower, at the previous pass (and after the hand-made cor-
 1052 rections).

³Note that it wouldn't necessarily help to set-up a code that automatically reads and writes the pass number to avoid the hardcoded directories in the code, because it happens to do several times the same pass with various parameters (e.g. cuts in the reconstruction, or more statistics, or various masked zones, or hand-customization of a few calib coeffs, etc...).

1053 The 2 last files are therefore useless for the "pass0". To run the code for "pass0" (1st iteration),
1054 put the name of a valid file (e.g. one of last year) and just ignore the plots (red colour, in the last
1055 section – see below).

1056 There are 4 output files, that are written in the current directory (calibPi0/) : be careful not to
1057 overwrite an existing file ! After the code has been run, simply move those files to the relevant
1058 passXX directory := output/passXX/=.

- 1059 – a postscript file psfile (output_calibPi0.ps) with the plots described below,
- 1060 – a root file rootFileOut (output_calibPi0.root) that contains the same plots in root format,
- 1061 – a file txtFileOut (output_calibPi0_parameters.txt) that contains the values of various pa-
1062 rameters of the fit for each tower, for the current pass,
- 1063 – a file outputFile (output_calibPi0_coefs.txt) that contains the value of the calib coeff for
1064 each tower, for the current pass. Once the code has been run and the output files copied to
1065 the relevant output directory, I copy output_calibPi0_coefs.txt to output_calibPi0_coefs_clean.txt,
1066 and modify the latter by hand to put the desired calib coefs where we estimate that they
1067 can't be trusted.

1068 9 parameters are defined to qualify the invariant mass distribution in each tower : the distribution
1069 is fitted by a gaussian + pol2 for the combinatorial background. The parameters are :

- 1070 – amplitude of gaussian fit,
- 1071 – mean of the gaussian fit,
- 1072 – sigma of the gaussian fit,
- 1073 – c, b and a parameters of the combinatorial background fit $ax^2 + bx + c$, I (histo integral),
- 1074 – I-S, S (integral of the gaussian fit). Minimal and maximal cut values are hardcoded (and
1075 to be changed at each iteration) for each parameter.

1076 When the value of all the parameters lie between both extremes, the tower (i.e. the fit values,
1077 hence the mean, hence the calculated calib coeff) is "trusted". If one or more parameter has a
1078 value beyond the max cut value or below the min cut value, the tower is "untrusted". Because
1079 these cut values can't be guessed in advance, the analysis code must be run twice per pass.
1080 The 1st time, so as to get the distributions of all 9 parameters, and decide on the basis of those
1081 distributions what are the suitable cut values to separate the towers to be trusted and those not
1082 to be trusted. The values are plugged in the code, and the code is then run a 2nd time, for
1083 real this time. The macro (currently called DrawJulienFullEMCAL6.C) runs with 1 parameter
1084 in argument (set to 10 by default) : choice, which sets the number of SMs that one desires to
1085 include in the analysis. The values are either 4 (for the older SMs), or 6 (for only the newer
1086 SMs), or 10 (for the whole EMCAL). Here is the code. The macro is run this way :

1087 `aliroot -b -q 'macros/DrawJulienFullEMCAL6.C++(10)'`

1088 There are various places where things must be customized before running the code ; they can be
1089 spotted by searching for this line : `//CUSTOMIZE customize :`

- 1090 – testChoice : this variable is a flag that allows to shorten the execution time for tests. 0 =
1091 not a test ; 1 = runs with only the 2 first columns of each SM ; 2 = runs with only the 2
1092 first columns of the first SM,
- 1093 – the root input file f05,
- 1094 – the text input file txtFilePrevCalib (in principle not the name, only the path),
- 1095 – the text input file txtFileIn (in principle not the name, only the path),
- 1096 – if necessary : the min and max range values for the parameter histograms : tabMin and
1097 tabMax,
- 1098 – the min and max cut values for the parameters cutMin and cutMax,
- 1099 – if necessary : the number of bins in pT (for the 1st section, see below) nbPtBins and their
1100 range tabPtBins.
- 1101 – Text output on the standard output ("printf's") :

1102 Finally, the first iteration needs the recalibration factors. This file is made running macros/Recal-
1103 ibrationFactors_TextToHistoJulien_mult_2012.C on the output_calibPi0_coefs.txt file. Once
1104 the RecalibrationFactors.root file is created it needs to be linked properly to re-run the recon-
1105 struction.

1106 **6.1.3 Energy calibration: Run by run temperature gain variations - Evi, David**

1107 The SuperModules calibration depends on the temperature dependence of the different tow-
1108 ers gains. We observe that from one period to other, where the T changes, the π^0 peak po-
1109 sitions also changes. There are 2 ways to correct for this effect : either measure the mean
1110 T per run, and get the gain curves per tower a calculate the corresponding correction; or use
1111 the calibration LED events to quantify the variation from one reference run. Each of those 2
1112 procedures have problems, poor or lack of knowledge of the gain curves of some towers or
1113 bad performance of the LED system in certain regions. These temperature or time-dependent
1114 corrections are still under study: for further, and up-to-date, information, please see the wiki:
1115 <https://twiki.cern.ch/twiki/bin/viewauth/ALICE/EMCalTimeDependentCalibrations>

1116 **6.1.4 Time calibration - Marie**

1117 The time of the amplitude measured by a given cell is a good candidate to reject noisy towers,
1118 identify pile up events, or even identify heavy hadrons at low energy. The average time is around
1119 650 ns. The aim of the time calibration is to move this mean value to 0, with as small spread as
1120 possible (negative values are unavoidable for the moment).

1121 **6.2 Alignment - Marco**

1122 CERN provides survey measurements of the position of different EMCAL Supermodules points
1123 at the beginning of the running period (and on request?). As soon this information is available,
1124 the ideal EMCAL positions used in the reconstruction by default, are corrected with special
1125 position matrices calculated from the measurements. Finally, once the data is reconstructed,
1126 the accuracy of the alignment is cross checked with track matching and π^0 mass measurements,
1127 since those values change depending on variations on the positions of the SuperModules.

1128 **6.3 Bad channel finding - Alexis**

1129 The analysis is done on the output of QA histograms. The idea is to check distributions over the
1130 cells of:

- 1131 – average energy (criteria 1) and
- 1132 – average number of hit per event (criteria 2) (average computed for $E > E_{min}$)
- 1133 – Shape criteria : χ^2/ndf (criteria 3), A (criteria 4) and B (criteria 5) which are parameters
1134 from the fit of each cell amplitude (the fit function is $A * e^{-B*x}/x^2$ and the fit range is from
1135 E_{min} to E_{max}).

1136 Each criteria is ran once, at each step, and the marked cells are excluded (above nsigma from
1137 mean value) to compute the next distribution. ⁴

1138 The typical nsigma used is 4 or 5. The min energy considered is 0.1 GeV -0.3 GeV. And the
1139 max energy for the fit depends on the data. Bad/warm channels are not detected automatically.
1140 The distinction is made by a visual check, so it is at some point subjective. (?????)

1141 The cells are then marked bad or warm and passed through OCDB, in the reconstruction pass,
1142 the bad ones are excluded.

⁴For each criteria we have some parameters E_{min} (min energy) E_{max} , (max energy for the Energy distribution fit), and nsigma, nb of sigma we use for excluding the cell;

1143 **7 Trigger**

1144 **7.1 L0 - Jiri**

1145 Documented in [10]. Add Summary or more info here.

1146 **7.2 L1 - Rachid**

1147 **7.3 L0-L1 simulation - Rachid**

1148 **8 The EMCal HLT online chain - Federico**

1149 The EMCal L0 or L1 hardware trigger decisions provide the input for a dedicated on-line event
1150 processing chain running on the HLT cluster, where further refinement based on criteria using the
1151 full event reconstruction information is performed. In fact, the detector optical link transports
1152 the raw data to the Read-Out Receiver Card (RORC) in the local data collector of the data
1153 acquisition system, which sends a complete copy of the readout to a set of specialized nodes in
1154 the HLT cluster (FEP or Front End Processors). Each FEP node is equipped with RORC cards in
1155 analogy to the collector nodes used by the data acquisition. The FEP nodes are physically linked
1156 to the detector hardware and reflect the geometrical partitioning of each ALICE sub-system.
1157 The 10 full-size super-modules are read out using 2 Read-Out Control Units (RCUs) for a total
1158 of 20 optical links running into the HLT FEPs. The reduced-size super-modules were installed
1159 prior to the 2012 LHC run and are not discussed in the present report. In addition to the 20
1160 links from the super-module readout, the HLT receives also a copy of the L0/L1 trigger data
1161 stream via an additional optical link from the EMCal jet trigger unit (STU) data collector. The
1162 different stages of data processing are then performed by the software analysis chain executed on
1163 the HLT cluster: a set of general purpose nodes (Computing Nodes or CNs) perform the higher
1164 level operations on the data streams which have been already pre-processed on the FEPs at the
1165 lower level. The EMCal software components form a specialized sub-chain executed at run time
1166 together with all other ALICE sub-systems participating in the HLT event reconstruction.

1167 The functional units of the EMCal HLT online chain are presented in Figure 10 where the online
1168 reconstruction, monitoring, and trigger components are shown together with their relevant data
1169 paths. The lower-level EMCal online component (*RawAnalyzer*) is fed by the detector front
1170 end electronics and performs signal amplitude and timing information extraction. Intermediate
1171 components (*DigitMaker*) use this information to build the digitized data structures needed for
1172 the clusterizer components to operate on the cell signals. Alternatively, the digitized signals can
1173 be generated via monte carlo simulations (*DigitHandler*).

1174 At the top of the EMCal reconstruction chain, the digits are summed by the *Clusterizer* compo-
1175 nent to produce the cluster data structures. The calorimeter clusters are then used to generate
1176 the different kinds of EMCal HLT trigger information: a single shower trigger (γ) with no track
1177 matching, an electron trigger using the matching with a corresponding TPC track, and a jet
1178 trigger also using the TPC tracks information and the V0 multiplicity dependent threshold.

1179 The trigger logic generated by the EMCal chain is evaluated (together with the outputs of the
1180 HLT trigger components coming from other ALICE detectors) within the HLT Global Trigger

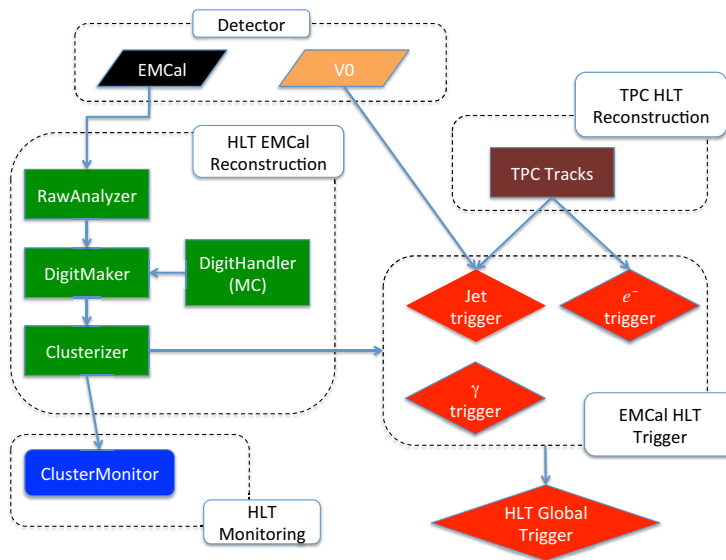


Fig. 10: Functional diagram of the EMCAL online reconstruction components (signal processing, data structure makers, and clusterizers) shown in green. The EMCAL chain is fed by the detector raw data. Trigger components are shown in red. EMCAL-specific triggers operate on the calorimeter clusters and perform TPC track-matching when needed (electron and jet triggers). Monitoring components are shown in blue and live in a separate monitoring chain. The EMCAL triggers are evaluated within the Global Trigger which is aware of the full HLT trigger logic of the other ALICE detectors.

1181 which produces the final high level decision based on the reconstructed event. The ALICE data
 1182 acquisition system will then discard, accept or tag the event according to the HLT decision.

1183 For performance and stability reasons, the full on-line HLT chain contains only analysis and
 1184 trigger components. On the other hand, monitoring components typically make heavy use of
 1185 histogramming packages and ESD objects, hence they are kept in a separate chain. The isolation
 1186 of the monitoring from the reconstruction chain gives additional robustness since a crash in a
 1187 monitoring component will not affect the reconstruction chain and the data taking.

1188 8.1 Reconstruction components

1189 As shown in Figure 10 the EMCAL HLT analysis chain provides all the necessary components
 1190 to allow the formation of a trigger decision based on full event reconstruction. The following
 1191 subsections are devoted to a detailed discussion of each processing stage, starting from the most
 1192 basic, i.e. signal extraction, to the highest stage: the HLT trigger decision.

1193 8.1.1 RawAnalyzer

1194 The *RawAnalyzer* component extracts energy and timing information for each calorimeter cell.
 1195 Extraction methods implemented in the offline code (AliRoot) typically use least squares fitting
 1196 algorithms, and cannot be used in online processing for performance reasons. Conversely, the
 1197 HLT signal extraction is done without need of fitting using two possible extraction methods. The

1198 first method, referred to as *kCrude*, simply produces an amplitude using the difference between
1199 the maximum and the minimum values of the digitized time samples and associates the time
1200 bin of the maximum as the signal arrival time. The *kCrude* method was used during the 2011
1201 data taking: it has the advantage of being extremely fast and fully robust since no complex
1202 algorithms are used. On the other hand, it produces a less accurate result than the processing
1203 of the full signal shape. An alternative method (*kPeakFinder*) evaluates the amplitude and peak
1204 position as a weighted sum of the digitized samples. This approach is not as fast as *kCrude* but
1205 is a few hundred times faster than least squares fitting.

1206 **8.1.2 DigitMaker**

1207 The *DigitMaker* component essentially transforms the raw cell signal amplitudes produced by
1208 the *RawAnalyzer* into digit structures by processing the cell coordinates and by the application
1209 of dead channel maps and the appropriate gain factors (low and high-gain).

1210 **8.1.3 Clusterizer**

1211 The *Clusterizer* component merges individual signals (digits) of adjacent cells into structures
1212 called clusters. At transverse momenta $p_T > 1$ GeV/c most of the clusters are associated to elec-
1213 tromagnetic showers in EMCal from π^0 and η mesons decays. Other sources of electromagnetic
1214 showers are direct photons and electrons from semi-leptonic decays of c and b hadrons. Since
1215 the typical cluster size in the EMCal can vary according to the detector occupancy due to shower
1216 overlap effects, which are much different for pp and heavy-ion collisions, clustering algorithms
1217 with and without a cutoff on the shower size are available (both in offline and in the HLT) to
1218 optimize the cluster reconstruction for the different cases. Events originating from pp collisions
1219 tends to generate smaller, spherical and well-separated clusters in the EMCal, at least up to 10
1220 GeV/c. At higher transverse momenta, overlapping of the showers requires a shape analysis to
1221 extract the single shower energy. Above 30 GeV/c the reconstruction can be performed only
1222 with more sophisticated algorithms such as isolation cuts to identify direct photons.

1223 The identification of an isolated single electromagnetic cluster in the EMCal can be performed
1224 using different strategies: summing up all the neighboring cells around a seed-cell over threshold
1225 until no more cells are found or adding up cells around the seed until the number of clustered
1226 cells reaches the predefined cutoff value.

1227 The first approach is more suitable for an accurate reconstruction. A further improvement to this
1228 clustering algorithm would be the ability to unfold overlapping clusters as generated from the
1229 photonic decay of high-energy neutral mesons, however this procedure usually requires comput-
1230 ing intensive fitting algorithms.

1231 Such performance penalty must be avoided in the online reconstruction so the cutoff technique
1232 is preferred. In the EMCal HLT reconstruction a cutoff of 9 cells is used (according to the
1233 geometrical granularity of the single cell size), so the clusterization is performed into a square
1234 of 3×3 cells. The cutoff and non-cutoff algorithms are referred to as $N \times N$ and $V1$, respectively.

1235 In pp collisions the response of the two methods is very similar since the majority of clusters are
1236 well separated, while in $PbPb$ collisions, especially in central events, the high particle multiplic-

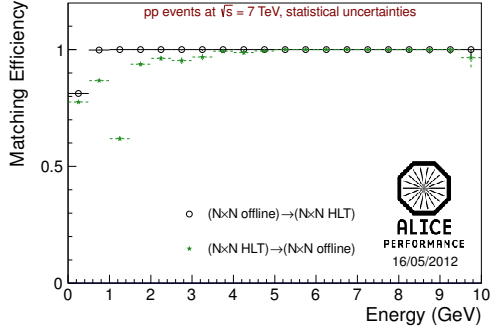


Fig. 11: Reconstruction efficiency for the $N \times N$ algorithm (cutoff) in offline and HLT. The notation $(A) \rightarrow (B)$ indicates the fraction of clusters found using method A that are also found using method B (data from run 154787, period LHC11c).

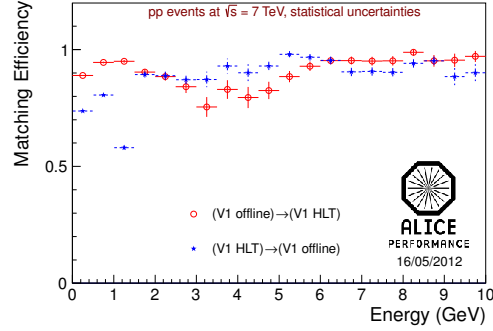


Fig. 12: Reconstruction efficiency for the V1 algorithms (no cutoff) in offline and HLT. The notation $(A) \rightarrow (B)$ indicates the fraction of clusters found using method A that are also found using method B (data from run 154787, period LHC11c).

1237 ity requires the use of the cutoff (or unfolding in offline) to disentangle the cluster signals from
 1238 the the underlying event to avoid the generation of artificially large clusters.

1239 The quality of the EMCal online clusterizer algorithms implemented in the HLT chain were
 1240 checked against offline, as shown in Figures 11 and 12 where it can be seen that the perfor-
 1241 mance is in a reasonable agreement in all cases. The low point at 1.25 GeV is due to bad towers,
 1242 which are assigned an energy of 1 GeV. Bad clusters are removed in later stages of the analysis,
 1243 but that is not yet reflected in Figures 11 and 12. This effect leads to an excess of clusters that
 1244 are found by the HLT clusterizer, but not by the offline clusterizer.

1245

1246 Since the EMCal HLT reconstruction is mainly targeted for triggering, a small penalty in the
 1247 accuracy of the energy reconstruction of the clusters is accepted as a trade off in favor of faster
 1248 performance, and for this reason the cutoff clustering method was used, especially in $PbPb$
 1249 collisions.

1250 8.2 Trigger components

1251 The online HLT chain is capable of producing trigger decisions based on full event reconstruc-
 1252 tion. In terms of EMCal event rejection the following relevant trigger observables have been
 1253 implemented:

1254 – neutral cluster trigger

1255 – electron and jet trigger

1256 **8.2.1 Cluster trigger**

1257 The single shower triggering mode is primarily targeted to trigger on photons and neutral mesons.
 1258 In all collision systems, the high level trigger post-filtering can improve the hardware L0 and
 1259 L1 trigger response by using the current bad channels map information and calibration factors
 1260 (which could be recomputed directly in the HLT).

1261 **8.2.2 Electron trigger**

1262 For this trigger the cluster information reconstructed online by the EMCal HLT analysis chain is
 1263 combined with the central barrel tracking information to produce complex event selection as a
 1264 single electron trigger (matching of one extrapolated track with an EMCal cluster. Performance
 1265 and accuracy studies of the track matching component developed for this purpose have been
 1266 done using simulated and real data taken during the 2011 LHC running period. Results are
 1267 shown in Figures 13 and 14 where the cluster - track residuals in azimuth and pseudo-rapidity
 1268 units are to be compared with a calorimeter cell size of 0.014×0.014 .

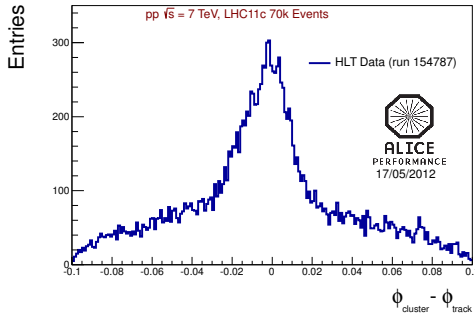


Fig. 13: Distribution of the residuals in azimuth ($\Delta\phi$) for the EMCal cluster and central barrel tracks obtained using the HLT online chain for run 154787 (LHC11c), 70 k events reconstructed.

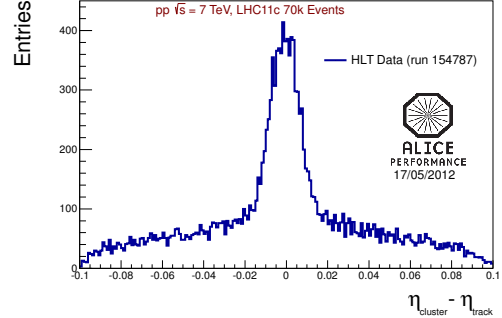


Fig. 14: Distribution of the residuals in pseudo-rapidity ($\Delta\eta$) for the EMCal cluster and central barrel tracks obtained using the HLT online chain for run 154787 (LHC11c), 70 k events reconstructed.

1269 In addition to the extrapolation of the track from the central barrel to the EMCal interaction plane
 1270 and the matching with a compatible nearby cluster, the electron trigger component must finally
 1271 perform particle identification to issue a trigger decision. The selection of electron candidates is
 1272 done using the E/pc information where the energy is measured from the EMCal cluster and the
 1273 momentum from the central barrel track. The trigger component is initialized with default values
 1274 for the cut of $0.8 < E/pc < 1.3$. The default cuts are stored in the HLT conditions database and
 1275 can be overridden via command line arguments at configuration time (usually at start of run).

1276 The performance of the electron trigger was studied using pp minimum bias data at 7 TeV with
 1277 embedded J/Ψ events. Figure 15 shows the good agreement of the E/pc distributions obtained
 1278 with the track extrapolation - cluster matching performed using the online algorithms compared
 1279 to the ESD-based tracking (red).

1280 To determine the possible improvement of the event selection for electrons with energies above

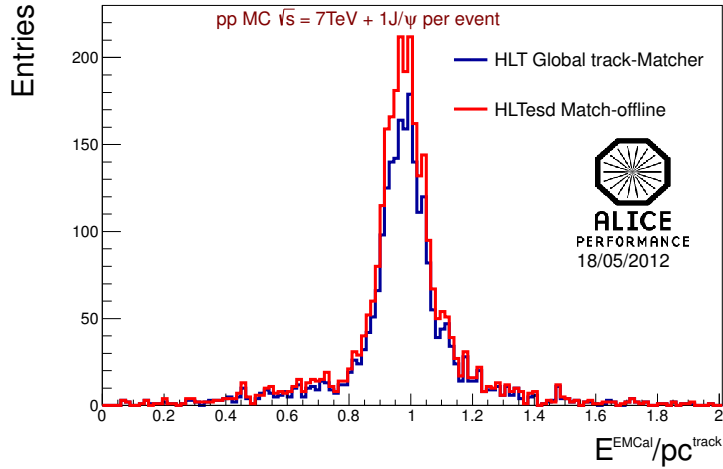


Fig. 15: E/p_c distributions obtained with the track extrapolation - cluster matching via the online algorithms compared to the ESD-based tracking (red).

1281 1 GeV, AliRoot simulations of the HLT chain using LHC11b10a pp minimum bias data at 2.76
 1282 GeV and the EMCal full geometry (10 super-modules) have been used. These studies have
 1283 shown that at least a factor 5 to 10 in event selection can be gained compared to the single
 1284 shower trigger, as shown in Figure 16.

1285 **8.2.3 Jet trigger**

1286 The EMCal online jet trigger component was developed to provide an unbiased jet sample by
 1287 refining the hardware L1 trigger decisions. In fact, the HLT post-processing can produce a
 1288 sharper turn on curve using the track matching capabilities of the online reconstruction chain.
 1289 In addition, a more accurate definition of the jet area than the one provided by the hardware
 1290 L1 jet patch, can be obtained choosing a jet cone based on the jet direction calculated online.
 1291 The combination of the hadronic and electromagnetic energy provides a measurement of the
 1292 total energy of the jet by matching the tracks identified as part of a jet with the corresponding
 1293 EMCal neutral energy.

1294 The use of the HLT jet trigger also allows a better characterization of the trigger response as
 1295 a function of the centrality dependent threshold by re-processing the information from the V0
 1296 detector directly in HLT.

1297 Performance considerations, due to the high particle multiplicity in $PbPb$ collisions, impose that
 1298 the track extrapolation is done only geometrically without taking into account multiple scattering
 1299 effects introduced by the material budget in front of the EMCal. The pure geometrical extrapo-
 1300 lation accounts for a speedup factor of 20 in the execution of the track matcher component with
 1301 respect to the full-fledged track extrapolation used in pp collisions.

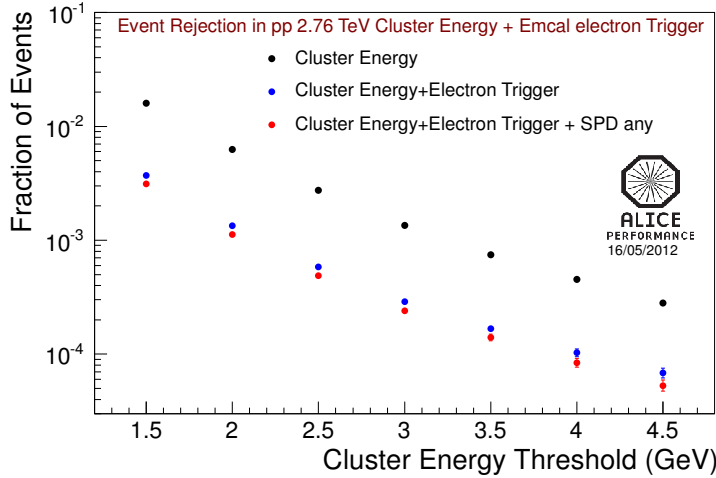


Fig. 16: Improvement in the event selection for $E_{e^-} > 1$ GeV from AliRoot simulation (anchor to LHC11b10a) with minimum bias pp at $\sqrt{s} = 2.76$ TeV (EMCal full geometry). The red points are obtained with the requirement of one hit in one of the silicon pixel (SPD) layers to reject a higher fraction of photon conversions.

1302 The identification of the jet tracks is performed using the anti- k_T jet finder provided by the
 1303 FastJet package.

1304 The EMCal jet trigger was only partially tested during the 2011 data taking period and will be
 1305 fully commissioned for the LHC pPb run period in 2012.

1306 **8.3 Monitoring components**

1307 The role of the EMCal HLT reconstruction in pp collisions is targeted mainly on the monitoring
 1308 functions since the expected event sizes are small enough for the complete collision event to be
 1309 fully transferred to permanent storage.

1310 In this respect, two monitoring components have been developed and deployed in the online
 1311 chain. The first component currently monitors reconstructed quantities, such as the cluster en-
 1312 ergy spectra and timing, the cluster position in the η and ϕ coordinates, and the number of cells
 1313 per cluster as a function of the cluster reconstructed energy as shown in Figure 17.

1314 The second component re-evaluates the EMCal hardware trigger decisions by recalculating the
 1315 cluster energy spectrum for all the clusters with the L0 trigger bit set as shown in Figure 18.
 1316 The L0 turn on curve can then be calculated online as the ratio between the triggered and the
 1317 reconstructed cluster spectra and monitored for the specific run.

1318 No recalculation of hardware L1 trigger primitives was possible during the 2011 data taking
 1319 since the optical link from the EMCal L1 trigger unit could only be installed during the 2011-2012
 1320 winter shutdown of the LHC hence the software development for the L1 trigger monitoring is

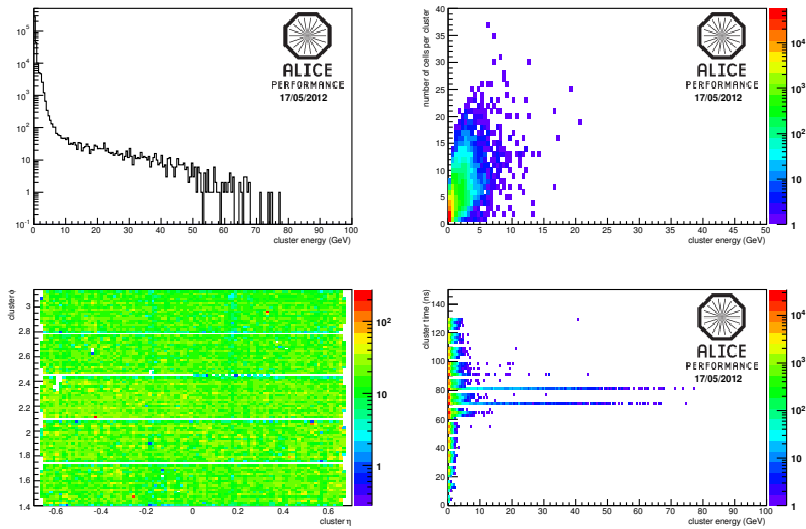


Fig. 17: Output from the EMCal HLT monitoring component. Top left: cluster energy spectra as a function of the reconstructed cluster energy; bottom left: cluster position in η and ϕ coordinates; bottom right: cluster time distribution; top right: number of cells per cluster vs cluster energy. LHC11b period, $\sqrt{s} = 7$ TeV pp data, 10 kEvent analyzed.

1321 still underway.

1322 Documented in [11]. Add Summary or more info here.

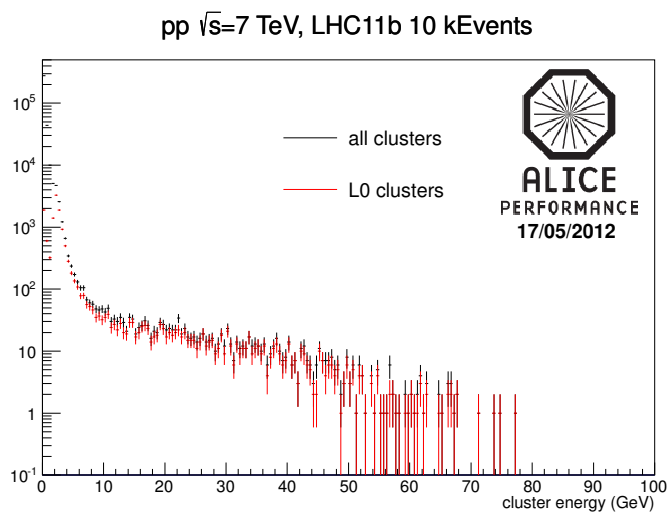


Fig. 18: Energy spectrum for all clusters reconstructed by the EMCal (black points) superposed with the triggered cluster spectrum (i.e. clusters reconstructed which also carry the L0 hardware trigger bit set, red points).

1323 9 Analysis format and code

1324 All the reconstructed particles of all the detectors are kept in a file called **AliESDs.root**. The
1325 detectors must store there the most relevant information which will be used in the analysis.
1326 Together with the AliESDs.root file, another file is created with some reference tags of the
1327 simulated events, containing for example the number of events per run. This file is named
1328 **Run0.Event0_1.ESD.tag.root** (1 means that only 1 event was simulated).

1329 In order to do the analysis with the data contained in the ESDs, the only file needed is **AliESDs.root**
1330 in the local directories or a grid collection. No other files are needed in the working directory
1331 (such as galice.root nor EMCAL.*.root) unless one needs to access the primary particles gener-
1332 ated during the simulation. In that case, the files **galice.root** and **Kinematics.root** are needed
1333 locally. Also, if one want to access to some information of the detector geometry, the **geome-**
1334 **try.root** file is needed.

1335 There are other data analysis containers created from the ESD, the AOD (Analysis Object Data)
1336 with smaller quantity of data for most of the subsystems but for the calorimeters, where we copy
1337 all the information⁵.

1338 9.1 Calorimeter information in ESDs/AODs

1339 The basic calorimeter information needed for analysis is stored in the ESDs or AODs in the
1340 form of CaloClusters and CaloCells (cell = EMCal Tower or PHOS crystal). Also there is some
1341 information stored in the AOD/ESD event classes, it will be detailed more in the lines below.
1342 Both AOD and ESD classes derive from virtual classes so that with a similar analysis code and
1343 access methods, we can read both kind of data formats.

1344 9.1.1 AliVEvent (AliESDEvent, AliAODEvent)

1345 Those are manager classes for the event information retrieval. Regarding the calorimeters they
1346 have the following access information (getters) methods⁶:

- 1347 – AliVCaloCluster *GetCaloCluster(Int_t i) : Returns a CaloCluster listed in position "i"
1348 in the array of CaloClusters. It can be either PHOS or EMCal (PHOS list of clusters is
1349 before the EMCal list).
- 1350 – TClonesArray *GetCaloClusters() : Returns the array with CaloClusters PHOS+EMCAL,
1351 Only defined for AODs
- 1352 – Int_t GetEMCALClusters(TRefArray *clusters); Int_t GetPHOSClusters(TRefArray *clus-
1353 ters) : Returns an array with only EMCal clusters or only with PHOS clusters.
- 1354 – Int_t GetNumberOfCaloClusters(): Returns the total number of clusters PHOS+EMCAL.
- 1355 – AliVCaloCells *GetEMCALCells(); AliESDCaloCells *GetPHOSCells() : Returns the
1356 pointer with the CaloCells object for EMCal or PHOS.

⁵until half 2012 everything but the time of the cells was stored

⁶There are the equivalent setters just have a look to the header file of the class

- 1357 – AliVCaloTrigger *GetCaloTrigger(TString calo) : Access to trigger patch information,
1358 for calo="PHOS" or calo="EMCAL"
- 1359 – const TGeoHMatrix* GetPHOSMatrix(Int_t i); const TGeoHMatrix* GetEMCALMa-
1360 trix(Int_t i): Get the matrices for the transformation of global to local. The transformation
1361 matrices are not stored in the AODs.

1362 **9.1.2 AliVCaloCluster (AliESDCaloCluster, AliAODCaloCluster)**

1363 They contain the information of the calorimeter clusters. Note that PHOS and EMCAL Calo-
1364 Clusters are kept in the same TClonesArray (see above). The information stored in each Calo-
1365 Cluster is :

- 1366 – General
 - 1367 – Int_t GetID(): It returns a unique identifier number for a CaloCluster.
 - 1368 – Char_t GetClusterType(): It returns kPHOSNeutral (kPHOSCharged exists but not
1369 used) or kEMCALClusterv1. Another way to get the origin of the cluster:
 - 1370 – Bool_t IsEMCAL(); Bool_t IsPHOS().
 - 1371 – void GetPosition(Float_t *pos) : It returns a x,y,z array with the global positions of
1372 the clusters in centimeters.
 - 1373 – Double_t E() : It returns the energy of the cluster in GeV units.
 - 1374 – void GetMomentum(TLorentzVector& p, Double_t * vertexPosition) : It fills a TLorentzVec-
1375 tor pointing to the measured vertex of the collision. It also modifies the cluster global
1376 positions to have a vector pointing to the vertex, this has to be corrected. Assumes
1377 that cluster is neutral. To be used only for analysis with clusters not matched with
1378 tracks.
- 1379 – Shower Shape
 - 1380 – Double_t GetDispersion(): Dispersion of the shower.
 - 1381 – Double_t Chi2(): Not filled.
 - 1382 – Double_t GetM20() Double_t GetM02() : Ellipse axis.
 - 1383 – UChar_t GetNExMax() : Number of maxima in cluster. Not filled.
 - 1384 – Double_t *GetPID(): PID weights array, 10 entries corresponding to the ones de-
1385 fined in AliPID.h
 - 1386 – enum EParticleType kElectron = 0, kMuon = 1, kPion = 2, kKaon = 3, kProton = 4,
1387 kPhoton = 5, kPi0 = 6, kNeutron = 7, kKaon0 = 8, kEleCon = 9, kUnknown = 10; :
1388 PID tag numbers, corresponding to the PID array
 - 1389 – Double_t GetDistanceToBadChannel() : Distance of the cluster to closest channel
1390 declared as kDead, kWarm or kHot.
 - 1391 – Double_t GetTOF() : Measured Time of Flight of the cluster.

- 1392 – Track-Cluster matching
 - 1393 – TArrayI * GetTracksMatched(): List of indexes to the likely matched tracks. Tracks
 - 1394 ordered in matching likeliness. If there is no match at all, by default it contains one
 - 1395 entry with value -1. Only in ESDs.
 - 1396 – Int_t GetTrackMatchedIndex(Int_t i): Index of track in position "i" in the list of
 - 1397 indices stored in GetTracksMatched(). Only in ESDs
 - 1398 – Int_t GetNTracksMatched() : Total number of likely matched tracks. Size of Get-
 - 1399 TracksMatched() array.
 - 1400 – Double_t GetEmcCpvDistance() : PHOS method, not used anymore. Use instead
 - 1401 those below.
 - 1402 – Double_t GetTrackDx(void), Double_t GetTrackDz(void): Distance in x and z to
 - 1403 closest track.
 - 1404 – TObject * GetTrackMatched(Int_t i): References to the list of most likely matched
 - 1405 tracks are stored in a TRefArray. This method retrieves the one in position "i". Tracks
 - 1406 are listed in order of likeliness. The TObject is a AliAODTrack. Only for AODs
 - 1407 – MonteCarlo labels:
 - 1408 – TArrayI * GetLabels(): List of indexes to the MonteCarlo particles that contribute to
 - 1409 the cluster. Labels ordered in energy contribution.
 - 1410 – Int_t GetLabel(): Index of MonteCarlo particle that deposited more energy in the
 - 1411 cluster. First entry of GetLabels() array.
 - 1412 – Int_t GetLabelAt(UInt_t i): Index of MonteCarlo particle in position i of the array
 - 1413 of MonteCarlo indices.
 - 1414 – Int_t GetNLabels() : Total number of MonteCarlo particles that deposited energy.
 - 1415 Size of GetLabels() array.
 - 1416 – Cluster cells
 - 1417 – Int_t GetNCells() : It returns the number of cells that contribute to the cluster.
 - 1418 – UShort_t *GetCellsAbsId(): It returns the array with absolute id number of the cells
 - 1419 contributing to the cluster. Size of the array is given by GetNCells().
 - 1420 – Double32_t *GetCellsAmplitudeFraction(): For cluster unfolding, it returns an array
 - 1421 with the fraction the energy that a cell contributes to the cluster.
 - 1422 – Int_t GetCellAbsId(Int_t i) : It returns the absolute Id number of a cell in the array
 - 1423 between 0 and GetNCells()-1.
 - 1424 – Double_t GetCellAmplitudeFraction(Int_t i) : It returns the amplitude fraction of a
 - 1425 cell in the array between 0 and GetNCells()-1.

1426 **9.1.3 AliVCaloCells (AliESDCaloCells, AliAODCaloCells)**

1427 They contain an array with the amplitude or time of all the cells that fired in the calorimeter
1428 during the event. Notice that per event there will be a CaloCell object with EMCAL cells and
1429 another one with PHOS cells.

- 1430 – Short_t GetNumberOfCells(): Returns number of cells with some energy.
- 1431 – Bool_t IsEMCAL(); Bool_t IsPHOS(); Char_t GetType(): Methods to check the origin of
1432 the AliESDCaloCell object, kEMCALCell or kPHOSCell.
- 1433 – Short_t GetCellNumber(Short_t pos): Given the position in the array of cells (from 0 to
1434 GetNumberOfCells()-1), it returns the absolute cell number (from 0 to NModules*NRows*NColumns
1435 - 1).
- 1436 – Double_t GetCellAmplitude(Short_t cellNumber): Given absolute cell number of a cell
1437 (from 0 to NModules*NRows*NColumns - 1), it returns the measured amplitude of the
1438 cell in GeV units.
- 1439 – Double_t GetCellTime(Short_t cellNumber): Given absolute cell number of a cell (from
1440 0 to NModules*NRows*NColumns - 1), it returns the measured time of the cell in second
1441 units.
- 1442 – Double_t GetAmplitude(Short_t pos): Given the position in the array of cells (from 0 to
1443 GetNumberOfCells()-1), it returns the amplitude of the cell in GeV units.
- 1444 – Double_t GetTime(Short_t pos): Given the position in the array of cells (from 0 to GetNumberOfCells()-
1445 1), it returns the time of the cell in second units.
- 1446 – Double_t GetCellMCLable(Short_t cellNumber): Given absolute cell number of a cell
1447 (from 0 to NModules*NRows*NColumns - 1), it returns the index of the most likely MC
1448 label.
- 1449 – Double_t GetCellEFraction(Short_t cellNumber): Given absolute cell number of a cell
1450 (from 0 to NModules*NRows*NColumns - 1), it returns the fraction of embedded energy
1451 from MC to real data (only for embedding)
- 1452 – Double_t GetMCLLabel(Short_t pos): Given the position in the array of cells (from 0 to
1453 GetNumberOfCells()-1), it returns the index of the most likely MC label.
- 1454 – Double_t GetEFraction(Short_t pos): Given the position in the array of cells (from 0 to
1455 GetNumberOfCells()-1), it returns the fraction of embedded energy from MC to real data
1456 (only for embedding)
- 1457 – Bool_t GetCell(Short_t pos, Short_t &cellNumber, Double_t &litude, Double_t &time,
1458 Short_t &mclabel, Double_t &frac); : For a given position of the list of cells, it fills the
1459 amplitude, time, mc lable and fraction of energy.

1460 **9.1.4 AliVCaloTrigger (AliESDCaloTrigger, AliAODCaloTrigger) - Rachid**

1461 **9.2 Macros**

1462 You can find example macros to run on ESDs or AODs in

1463 `$ALICE_ROOT/EMCAL/macros/TestESD.C` or `TestAOD.C`

1464 All the ESDs information is filled via the AliEMCALReconstructor/AliPHOSReconstructor
1465 class, in the method FillESD(). The AODs are created via the analysis class

1466 `$ALICE_ROOT/ANALYSIS/AliAnalysisTaskESDfilter.cxx, .h`

1467 and as already mentioned, for the calorimeters it basically just copies all the information from
1468 ESD format to AOD format.

1469 Below is a description of what information is stored and how to retrieve it. The location of the
1470 corresponding classes is

1471 `$ALICE_ROOT/STEER`

1472 **9.3 Code example**

1473 The analysis is done using the data stored in the ESD. The macro

1474 `$ALICE_ROOT/EMCAL/macros/TestESD.C`

1475 is an example of how to read the data for the calorimeters PHOS and EMCal (just replace where
1476 it says EMCAL by PHOS in the macro to obtain PHOS data). For these detectors we have
1477 to use the ESD class AliESDCaloCluster or AliESDCaloCells to retrieve all the calorimeters
1478 information. For the tracking detectors, the class is called AliESDtrack, but the way to use it is
1479 very similar (see “`$ALICE_ROOT/STEER/AliESDtrack.*`”

1480 and “`$ALICE_ROOT/STEER/AliESDCaloCluster*`” for more details). In AliESDCaloCluster
1481 we keep the following cluster information: energy, position, number of Digits that belong to the
1482 cluster, list of the cluster Digits indeces, shower dispersion, shower lateral axis and a few more
1483 parameters. In AliESDCaloCells we keep the following tower information: amplitude (GeV),
1484 time (seconds), absolute cell number.

1485 The structure of the ESD testing macro (TestESD.C) is the following:

- 1486 – Lines 0-29: This macro is prepared to be compiled so it has “includes” to all the Root and
1487 AliRoot classes used.
- 1488 – Lines 30-36: This macro prints some information on screen, the kind of information is
1489 set here. We print by default clusters information and optionally, the cells information,
1490 the matches information, the cells in the clusters information or the MonteCarlo original
1491 particle kinematics.
- 1492 – Lines 40-64: Here are the methods used to load AliESDs.root , geometry or kinematics
1493 files. Also loop on ESD event is here.

- 1494 – Lines 65-66 Gets the measured vertex of the collision.
- 1495 – Lines 69-78 Loops on all the CaloCell entries and prints the cell amplitude, absolute
1496 number and time.
- 1497 – Lines 84- end: We access the EMCAL AliESDCaloCluster array and loop on it. We get
1498 the different information from the CaloCluster.
- 1499 – Lines 111-130: Track Matching prints. Access to the matched track stored in AliESD-
1500 track.
- 1501 – Lines 133-159: Cells in cluster prints
- 1502 – Lines 161 - end: Access the stack with the MC information and prints the parameters of
1503 the particle that generated the cluster.

1504 **9.4 Advanced utilities : Reconstruction/corrections of cells, clusters during the analysis**

1505 **9.4.1 AliEMCALRecoUtils**

1506 **9.4.2 Tender : AliEMCALTenderSupply**

1507 **9.4.3 Particle Identification with the EMCal**

1508 In the EMCal we have two different ways to obtain the PID of a given particle:

- 1509 1. Shower shape of the cluster: Distinguish electrons/photons and π^0 from other particles.
1510 This is done without any track information in the class AliEMCALPID.
- 1511 2. Ratio between energy of the cluster and the momentum of a matched track: Distinguish
1512 electrons from other particles. This is done in the combined PID framework by the class
1513 AliEMCalPIDResponse.

1514 **AliEMCALPID (AliEMCALPIDutils)** The idea for particle identification with EMCal clus-
1515 ters is that the shower shapes produced in the EMCal are different for different particle species.
1516 The long axis of the cluster (λ_0) is used for this purpose and parametrized for electrons/photons
1517 (should have the same electromagnetic shower), for π^0 (two photons merging in one cluster give
1518 a different shape than one photon), and hadrons (MIP signal).

1519 The main method in this class is RunPID(), which calls AliEMCALPIDutils::ComputePID(Double_t
1520 energy, Double_t lambda0) for each cluster with cluster energy energy and long axis of the
1521 cluster lambda0 in the event. Inside this method first the energy dependent parametrizations
1522 for the three cases (photons, π^0 , and hadrons) are retrieved. The parametrization is done here
1523 with a combination of a Gaussian and a Landau (at the moment there are two parameter sets
1524 available: low and high flux, which can be set by AliEMCALPID::SetLowFluxParam() and
1525 AliEMCALPID::SetHighFluxParam()). Then a conditional probability is assigned to the clus-
1526 ter for each of these three species depending on lambda0. Finally a probability for a cluster

1527 being of a certain particle species is calculated with the Bayesian approach that can be retrieved
1528 by `AliVCluster::GetPID()`.

```
1 // compute PID weights
2 if( (fProbGamma + fProbPiZero + fProbHadron)>0.){
3     fPIDWeight[0] = fProbGamma / (fProbGamma + fProbPiZero + fProbHadron); // gamma
4     fPIDWeight[1] = fProbPiZero / (fProbGamma+fProbPiZero+fProbHadron); // pi0
5     fPIDWeight[2] = fProbHadron / (fProbGamma+fProbPiZero+fProbHadron); // hadron
6 }
7 ...
8 // default particles
9 fPIDFinal[AliPID::kElectron] = fPIDWeight[0]/2; // electron
10 fPIDFinal[AliPID::kMuon] = fPIDWeight[2]/8;
11 fPIDFinal[AliPID::kPion] = fPIDWeight[2]/8;
12 fPIDFinal[AliPID::kKaon] = fPIDWeight[2]/8;
13 fPIDFinal[AliPID::kProton] = fPIDWeight[2]/8;
1529 // light nuclei
14 fPIDFinal[AliPID::kDeuteron] = 0;
15 fPIDFinal[AliPID::kTriton] = 0;
16 fPIDFinal[AliPID::kHe3] = 0;
17 fPIDFinal[AliPID::kAlpha] = 0;
18 // neutral particles
19 fPIDFinal[AliPID::kPhoton] = fPIDWeight[0]/2; // photon
20 fPIDFinal[AliPID::kPi0] = fPIDWeight[1] ; // pi0
21 fPIDFinal[AliPID::kNeutron] = fPIDWeight[2]/8;
22 fPIDFinal[AliPID::kKaon0] = fPIDWeight[2]/8;
23 fPIDFinal[AliPID::kEleCon] = fPIDWeight[2]/8;
24 //
25 fPIDFinal[AliPID::kUnknown] = fPIDWeight[2]/8;
26
```

1530

1531 **AliEMCalPIDResponse** The idea for particle identification with EMCal clusters AND the
1532 track information is that electrons are losing their total energy in an electromagnetic shower
1533 inside the EMCal whereas all other charged particles only part of it. The main observable is
1534 E/p with the energy of the EMCal cluster (E) and the momentum of a matched track (p). This
1535 ratio is $E/p \sim 1$ for electrons and $E/p < 1$ for other charged particles.

1536 The decision about a particle species is done within the PID framework provided by ALICE. The
1537 main classes are: STEER/STEERBase/AliPIDCombined and STEER/STEERBase/AliPIDResponse.
1538 There are two methods of usage:

- 1539 1. $n\sigma$ method: For each detector the multiples of σ values are given for the deviation from the
1540 expected mean value at a given p_T (assuming a Gaussian distribution). This can be done
1541 via: `AliPIDResponse::GetNumberOfSigmas(EDetector detCode, const AliVParticle`
1542 `*track, AliPID::EParticleType type)`
- 1543 2. Bayesian approach: In `AliPIDCombined::ComputeProbabilities(const AliVTrack`

1544 *track, const AliPIDResponse *response, Double_t* bayesProbabilities) for
 1545 each detector (included in the analysis via
 1546 AliPIDCombined::SetDetectorMask(AliPIDResponse::EDetector)) the conditional
 1547 probability for the respective detector observable is calculated for each particle species
 1548 (selected via
 1549 AliPIDCombined::SetSelectedSpecies(AliPID::EParticleType)). Then the prob-
 1550 ability for a track being of a certain particle type is calculated with the Bayesian approach.
 1551 The initial particle abundances (priors) can be activated via
 1552 AliPIDCombined::SetEnablePriors(kTRUE) and either own priors can be loaded
 1553 (AliPIDCombined::SetPriorDistribution(AliPID::EParticleType type, TH1F *prior))
 1554 or default ones can be chosen (AliPIDCombined::SetDefaultTPCPriors()).

1555 For the case of the EMCAL the $n\sigma$ as well as the conditional probability are calculated in
 1556 AliEMCALPIDResponse::GetNumberOfSigmas(Float_t pt, Float_t eop, AliPID::EParticleType
 1557 n, Int_t charge) and
 1558 AliEMCALPIDResponse::ComputeEMCALProbability(Int_t nSpecies, Float_t pt, Float_t
 1559 eop, Int_t charge, Double_t *pEMCAL), respectively. These methods are called from AliPIDCombined
 1560 and AliPIDResponse internally, so usually the user does not use them.

1561 To calculate $n\sigma$ and the conditional probability a parametrization of E/p for the different par-
 1562 ticle species at different momenta is needed. This was retrieved from data in a clean PID sam-
 1563 ple with the help of $V0$ decays for electrons, pions and protons ($\gamma \rightarrow e^+e^-$, $K^0 \rightarrow \pi^+\pi^-$, and
 1564 $\Lambda \rightarrow p + \pi^- / \bar{p} + \pi^+$) for different periods. Electrons are parametrized with a Gaussian distribu-
 1565 tion (mean value and σ), all other particles are parametrized with a Gaussian for $0.5 < E/p < 1.5$
 1566 and the probability to have a value in this E/p interval (this is small, since the maximum of the
 1567 distribution lies around 0.1). Here we distinguish between protons, antiprotons (higher proba-
 1568 bility for higher E/p values due to annihilation) and other particles (pions are used for these). At
 1569 the moment this parametrization is not done for all periods so far, as default LHC11d is taken.
 1570 There might be especially some centrality dependence on the E/p parametrization (because of
 1571 the different multiplicities of track-cluster matches).

1572 In addition to that the purity of the electron identification can be enhanced by using shower
 1573 shape cuts in addition. At the moment this can be done by getting them together with $n\sigma$:
 1574 AliEMCALPIDResponse::NumberOfSigmasEMCAL(const AliVParticle *track,
 1575 AliPID::EParticleType type, Double_t &eop, Double_t showershape[4]) In future,
 1576 a full treatment inside the PID framework is planned (by combining with AliEMCALPID).

1577 Some more information can be found on following TWiki pages:

- 1578 – <https://twiki.cern.ch/twiki/bin/view/ALICE/AlicePIDTaskForce>
- 1579 – <https://twiki.cern.ch/twiki/bin/view/ALICE/PIDInAnalysis>
- 1580 – <https://twiki.cern.ch/twiki/bin/viewauth/ALICE/EMCALPIDResponse>

1581 Here follows an example how to include the EMCAL PID in an analysis task:

```

1 // in analysis header:
2
3 AliPIDCombined fPIDCombined;
4 AliPIDResponse fPIDResponse;
5
6
7 // in Constructor:
8
9 fPIDCombined(0),
10 fPIDResponse(0),
11
12
13 // in UserCreateOutputObjects
14
15 // Set up combined PID
16 AliAnalysisManager *man=AliAnalysisManager::GetAnalysisManager();
17 AliInputEventHandler* inputHandler = (AliInputEventHandler*) (man->
    GetInputEventHandler());
18 fPIDResponse = (AliPIDResponse*)inputHandler->GetPIDResponse();
19
1582 fPIDCombined = new AliPIDCombined;
20
21 fPIDCombined->SetSelectedSpecies(AliPID::kSPECIES);
22 fPIDCombined->SetDetectorMask(AliPIDResponse::kDetEMCAL);
23 fPIDCombined->SetEnablePriors(kFALSE);
24
25
26 // in UserExec:
27
28 Double_t pEMCAL[AliPID::kSPECIES];
29 Double_t pBAYES[AliPID::kSPECIES];
30 Double_t eop;
31 Double_t ss[4]; //shower shape parameters (number of cells , M02, M20, Dispersion)
32
33 // NSigma value for electrons
34 nSigma = fPIDResponse->NumberOfSigmas(kEMCAL,track,AliPID::kElectron);
35 // or with getting also the E/p and shower shape values
36 nSigma = fPIDResponse->NumberOfSigmasEMCAL(track,AliPID::kElectron,eop,ss);
37
38 // Bayes probability
39 fPIDCombined->ComputeProbabilities(track, fPIDResponse, pBAYES);

```

1583

1584 **10 Run by run QA, how to and code**

1585 **10.1 Online - Francesco, Michael**

1586 **10.1.1 Creation and checking of online QA histograms (AMORE)**

1587 The QA histograms for the EMCal are created and filled by the class:

1588 `$ALICE_ROOT/EMCAL/AliEMCALQADataMakerRec`

1589 It is run both during the offline reconstruction and by the online data quality monitoring frame-
1590 work. Its main methods are:

1591

1592 `InitRaws()`: All the QA histograms are created here. Their titles should be self-explaining.
1593 Each of them has 2 important flags:

1594 `expert` - if true, the histogram is shipped only to the AMORE expert agent (run in the EMCal
1595 station), otherwise it is also shipped to the AMORE shifter agent (at the moment we have 4
1596 histograms monitored by the DQM shifter).

1597 `image` - if true, the plots is saved to the loogbok (there should be 9 plots in the logbook).

1598 Each of those histograms is replicated 4 times by the amore framework and filled according to
1599 the event species (`Calib`, `Cosmic`, `LowMultiplicity`, `HighMultiplicity`).

1600

1601 `MakeRaws(AliRawReader* rawReader)`: Here we loop over the input raw data stream and we
1602 fill the histograms.

1603

1604 `MakeRawsSTU(AliRawReader* rawReader)`: STU raw data decoding, provided EMCal trig-
1605 ger experts.

1606

1607 `GetCalibRefFromOCDB()`: Get the reference histograms from the OCDB. The ratio between
1608 histograms from current run and the reference run is calculated in the `MakeRaws` method.

1609

1610 The QA histograms are then analyzed by the class:

1611 `$ALICE_ROOT/EMCAL/AliEMCALQAChecker`

1612 It checks the data contained in the 4 non-expert histograms. They are at the moment:

- 1613 1. Ratio distribution of towers' amplitude in the current run w.r.t. the reference run.
- 1614 2. Number of hits of L1 Gamma patch.
- 1615 3. Number of hits of L1 Jet patch.
- 1616 4. Number of links between TRU and STU.

1617 The main method is: `CheckRaws()`: For the first histogram, we check how many channels have
1618 the ratio in the region 0.8 – 1.2. If there are more than the threshold (default = 90%), everything

1619 is ok, otherwise a red box with a “call expert” message is displayed. For L1 Jet/Gamma patch,
1620 we check if the rate of a single patch is higher than the average rate of all other patches times a
1621 certain threshold value (default = 0.5):

$$Rate_{patch}/Rate_{Total} > Threshold/(1 + Threshold) \quad (3)$$

1622 If so red box with a “hot spot - call expert” message is displayed. Finally, if there is a number
1623 (default = 1) of missing STU-TRU links, another red box with a warning message is shown.
1624 If one wants to change the thresholds, this can be done by updating them in the database (type
1625 amoreConfigFileBrowser d emc at any machine at P2 and edit the file QAThresholds.configfile
1626 accordingly).

1627 **10.1.2 How to's for EMCAL AMORE experts**

1628 **How to run AMORE at point 2 (P2):** Some useful scripts for running AMORE at P2 are
1629 located in the ~/amore directory in the aldaqcr51 machine. StartAmore.sh is used to run
1630 the agent and the GUI (in the expert mode). It simply launches the configMonitor.sh and
1631 the checkLogs.sh (used to check the logs of the agents). configMonitor.sh setup the agent
1632 and the GUI using some kdialog commands and runs them. Basically the agent is run by the
1633 following line in the script:

```
1634 sshdqm $agentName nohup $scriptsPATH/startAmoreAgent.sh $onlineMode &
```

1635 The agent is actually run on the EMCAL DQM node via the sshdqm command, so this line
1636 basically is used to connect to our dqm node and run the agent there.

1637 The GUI is launched in our machine by the command:

```
1638 amore -c EMC -m EMCUIQA(TRU) -g configFile.txt
```

1639 The configFile.txt file contains just one line, i.e. runType i, where i runs from 1 to 4
1640 (1=LowMultiplicity, 2=HighMultiplicity, 3=Cosmic, 4=Calib) and it is used to select the event
1641 specie you want to display in the GUI (this file is automatically created each time you run
1642 configMonitor.sh).

1643 Some other useful scripts (which are launched by configMonitor.sh using the sshdqm) are
1644 located in our DQM node. One can login to it by using:

```
1645 ssdqm EMCQA
```

1646 They are located in the scripts directory.

1647 startAmoreAgent.sh basically runs the agent, it simply issues the command:

```
1648 amoreAgent -a EMCQA -s @$1: -u
```

1649 The only parameter here is \$1, which is the gdc to monitor, defined in configMonitor.sh.
1650 killAgent.sh kills any running agent. Be aware that there cannot be 2 running agents of the
1651 same kind at the same time (i.e. just one EMCQA and one EMCQAshifter at a time). It can
1652 happen that some time the agent does not start when you try to run it. Most of the time this is
1653 because the dqm shifter is already running the EMCQA agent instead of just the EMCQAshifter
1654 one (they can run it from the dqm station even if they shouldn't). To check if the dqm shifter is
1655 running it, simply do ps aux | grep EMCQA in the dmq node, and see if there is an EMCQA
1656 process belonging to the user daq. If so, kindly ask the dqm shifter to please kill it.

1657 The agent is always running using the same parameters, which are stored in a database. In order

1658 to change them, you should run the `amoreConfigFileBrowser` command in the `aldaqacr51`
1659 machine. A window will appear, there you can browse a lot of configuration files. Our files are
1660 `EMCAL_config.txt` (it contains just the libraries to be loaded by the agent, and the event species
1661 to monitor), `QAdescriptionsEMC.configfile` (it contains the descriptions of the histograms
1662 shipped to the dqm shifter), and `QAThresholds.configfile` (it contains the thresholds for the
1663 QA checker - see above). You can edit them by pressing the edit button.

1664 **How to run AMORE in the test machine:** The EMCAL AMORE test machine can be reached
1665 via `ssh emcal@pcaldbl601`. The standard setup there is identical to the setup at P2. In the
1666 home directory there are some symbolic links which need to be changed in order to change
1667 the setup and test new features. `alirootLink` usually links to `/opt/aliroot-<some-ver>`,
1668 which is the version currently at P2 (daq team updates the software in the `/opt` directory when
1669 needed). The same holds for `rootLink`, `amoreLink` and `amoreSiteLink` (you should not need
1670 to change `rootLink` and `amoreLink`). For testing some changes to the EMCAL QA classes,
1671 one has to compile an own version of `aliroot` with the new version of those classes, and then
1672 make a symbolic link to the path of this `aliroot` version. There is an `aliroot` trunk version
1673 which was used to be updated from time to time for tests in `fblanco/alisoft`. In the directory
1674 `myamoreStuff` there are 2 version of the AMORE modules: `current_deploy` and `trunk` (of
1675 course you should do `svn up` from time to time). Let's suppose you want to make some mod-
1676 ification to our AMORE GUI (the expert one) and test them. Remove the `amoreSiteLink` (it
1677 usually points to `/amoreSite`). Then do:

```
1678 ln -s myamoreStuff/amoreSite amoreSiteLink
```

1679 (or any other directory you would like to use). Then:

```
1680 cd trunk[current_deploy]/amoreEMC
```

1681 At this point you can modify either the `src/ui/EMCUIQA` or `src/ui/EMCUIQATRU` class. This
1682 class contains just some manipulations of canvas/histograms to be shown in the expert panel and
1683 should be easy to understand. If there are some doubts, just ask. The first one also contains the
1684 hack we use in order to use our own reference file at P2 in used to calculate the ratio to refer-
1685 ence (next section will explain how to create a new reference file). `make install` will install
1686 the modified libraries into `amoreSiteLink`. You can use some of the scripts in the `fblanco`
1687 directory to run the agent and the GUI. In order to run the expert agent with the expert GUI you
1688 should do:

```
1689 amoreAgent -a EMCQA -s <some-raw-data>7 -g emcal_amore.cfg
```

1690 After doing `ssh` to the test machine in another terminal, you do

```
1691 amore -d EMC -m EMCUIQA(TRU) -g configGUI.txt
```

1692 If you want to test the shifter agent, you simply do:

```
1693 amoreAgent -a EMCQAshifter -s <some-raw-data> -g emcal_amore.cfg
```

1694 and type `amoreGui` in another terminal window.

1695 You can commit changes to the trunk (and not to the `current_deploy`) with the usual `svn commit`.

1696 Once you feel that your changes are ready to be deployed at P2, send an email with a request to

⁷If you want to create a raw data file, you should first download a chunk of raw data from alien. Then do:

```
deroot file.root file.raw.
```

Keep in mind that the test machine is shared with other detectors, so avoid to store a lot of raw data there and clean up the space from time to time.

1697 date-support.

1698 **How to create a new reference file:** In order to create a new reference file, you have to
1699 use the `doReco.sh` script. The only thing you should do there is the path to the raw data
1700 in `alien` and the number of chunks to analyze (check it in the `alien` path). Remember to do
1701 `alien-token-init` and `source /tmp/gclient_env_${UID}` before running the script. After
1702 the script is executed you will have some directories (`chunk10`, `chunk11`...). Each of them con-
1703 tains an `EMCAL.QA.<RunNumber>.root` file. You can do :

```
1704 hadd EMCAL.QA.0.root chunk10/EMCAL.QA.<RunNumber>.root  
1705 chunk11/EMCAL.QA.<RunNumber>.root
```

1706 to merge them (the output files should always be called `EMCAL.QA.0.root`). At this point the
1707 output file can be already copied to the `aldaqacr51` machine in the `emcal` station, in which we
1708 can change the `QARef` file whenever we want. In order to do that, you should copy it to your
1709 `lxplus` area, then from the `~/amore/QARef` directory in the `aldaqacr51` you can do:

```
1710 scp your-afs-account@aldaqgw01:/afs/cern.ch/<path-to-file>/<file> .
```

1711 **Do:**

```
1712 ln -s new-file QA.Ref.root
```

1713 and add a note to the notes file in the directory. Then you have to create an `OCDB` file. In order
1714 to do that, you must do

```
1715 aliroot Save2OCDB.C
```

1716 Standard parameters of the macro are “EMCAL” (detector name), 0 (run number) and “12”
1717 (year). You may need to change only the last one. The macro will create a directory named
1718 `QARef/EMCAL/QA/Calib/`, and the file `Run0_999999999_v0_s0.root` in it. This file has to
1719 be committed to the `QARef/EMCAL/QA/Calib/` directory of `aliroot`. You can check it with the
1720 `checkCDB.C` macro (it just displays a couple of histograms).

1721 *10.1.3 Some more informations*

1722 Further details about AMORE can be found here:

1723 <https://ph-dep-aid.web.cern.ch/ph-dep-aid/amore/>

1724 The Twiki page with the general EMCAL informations for the DQM shifter is:

1725 <https://twiki.cern.ch/twiki/bin/viewauth/ALICE/EVEEMC> The Twiki page (DQM blackboard)

1726 with temporary informations for the DQM shifter is:

1727 <https://twiki.cern.ch/twiki/bin/viewauth/ALICE/DQMBlackboard>

1728 **10.2 Offline - Marie**

1729 Analysis code, what we control, how

1730 **10.3 Event display**

1731 **10.4 Logbook tips**

1732 **References**

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